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# Projections of Southern Hemisphere Tropical Cyclone Track Density using CMIP5 models

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## 1 **Abstract**

2 A recently validated algorithm for detecting and tracking tropical cyclones (TCs) in coarse  
3 resolution climate models was applied to a selected group of 12 models from the Coupled  
4 Model Intercomparison Project (CMIP5) to assess potential changes in TC track  
5 characteristics in the Southern Hemisphere (SH) due to greenhouse warming. Current-climate  
6 simulations over the period 1970 – 2000 are first evaluated against observations using  
7 measures of TC genesis location and frequency, as well as track trajectory and lifetime in  
8 seven objectively defined genesis regions. The 12-model (12-M) ensemble showed  
9 substantial skill in reproducing realistic TC climatology over the evaluation period. To  
10 address potential biases associated with model interdependency, analyses were repeated with  
11 an ensemble of five independent models (5-M). Results from both the 12-M and 5-M models  
12 were very similar, instilling confidence in the models for climate projections. Projected  
13 changes in TC track density between the current- and future-climate (2070–2100) simulations  
14 under the Representative Concentration 8.5 Pathways (RCP8.5) are also assessed. Overall,  
15 projection results showed a substantial decrease (~1–3 per decade) in track density over most  
16 parts of the SH by the end of the twenty-first century. This decrease is attributed to a  
17 significant reduction in TC numbers (~15–42%) consistent with changes in large-scale  
18 environmental parameters such as relative vorticity, environmental vertical wind shear and  
19 relative humidity. This study has important implications for regional-scale climate change  
20 and adaptation pathways for the vulnerable regions in the SH, particularly the small island  
21 countries in the Pacific.

22

23 **Keywords:** tropical cyclone, track, southern hemisphere, model projection, climate change

24

## 25 **1. Introduction**

26 The frequency of tropical cyclone (TC) impacts on coastal communities are directly  
27 determined by the annual number and mean trajectory of localized TCs. Improving clarity of  
28 the relationship between these characteristics and human-induced global warming can  
29 therefore help target future planning and preparation efforts to high-risk areas in the Southern  
30 Hemisphere (SH). Potential changes to future TC track densities will have regional-scale  
31 consequences to communities situated among the three TC basins in the SH, namely the  
32 South Indian basin (0 – 50°S; 30 – 90°E), the Australian region (0 – 50°S; 90 – 160°E) and  
33 the southwest Pacific basin (0 – 50°S; 160°E – 120°W).

34 Determinants of TC track density can be separated into two components: TC genesis  
35 (both location and frequency), and TC track direction. A number of previous studies  
36 undertaken on TC frequency projections in the SH (e.g., Murakami et al. 2012; Sugi and  
37 Yoshimura 2012; Tory et al. 2013c; Rathmann et al. 2014) have generally indicated a mild  
38 decrease in the hemisphere-wide numbers with reasonably high confidence. However, an  
39 exception was a downscaling effort (Emanuel, 2013) that found an increase in TC activity in  
40 the South Indian and western Australian regions. In contrast to TC frequency, there has been  
41 little consensus on TC track projections for the SH basins (Christensen et al. 2013; Walsh et  
42 al. 2016), potentially due to relatively fewer studies in these basins and a focus on the  
43 Northern Hemisphere in recent years (e.g., Camargo 2013; Colbert et al. 2015; Daloz et al.  
44 2015; Wang and Wu 2015; Kossin et al. 2016; Nakamura et al. 2017; Park et al. 2017). This  
45 highlights the need for more robust quantitative assessments of projected changes in TC track  
46 densities for the SH basins using new and innovative approaches.

47 In recent years, attention has begun to shift towards TC track projections as numerous  
48 modelling and analytical techniques have been developed and refined to better evaluate the

49 complexities of TC tracks in climate models. This includes improvements in the often-used  
50 climate models from the Coupled Model Intercomparison Project (such as CMIP5, Taylor et  
51 al. 2012) in reproducing realistic large-scale environmental conditions that affect TC  
52 formation (e.g., CCiA 2015; Moise et al. 2015; Chand et al. 2017) and advances in track  
53 analysis techniques (e.g., Gaffney 2004; Nakamura et al. 2009; Nakamura et al. 2017; Shen et  
54 al. 2018). There have also been substantial improvements in detection (and tracking) of TCs  
55 in climate models, including the Okubo-Weiss-Zeta scheme (OWZ; Tory et al. 2013a) that  
56 can circumvent detector dependence on model resolution, which is often cited as a limitation  
57 of TC projection studies (e.g., Walsh et al. 2007; Horn et al. 2014; Daloz et al. 2015). The  
58 primary aim of this study is to use state-of-the-art analysis techniques to determine if a  
59 consensus on track density changes can be achieved for the SH using CMIP5 models under a  
60 high emission scenario (RCP8.5; Section 2). The two main aspects of TC track density  
61 changes (i.e. frequency and track direction) are investigated in seven separate study regions  
62 that are objectively identified by a cluster analysis.

63         The TC detection and tracking scheme of Tory et al. (2013a) is applied to CMIP5  
64 models after having undergone scrupulous validation in ERA-Interim data in terms of annual  
65 numbers (Tory et al. 2013b) and tracks (Bell et al. 2018). The latter study provided an  
66 objective definition of a “TC track” for applications in coarse resolution model data and also  
67 recommended discarding short lived TCs (< 2-days) for optimal performance. Prior  
68 application of the scheme in CMIP5 models (e.g., Tory et al. 2013c; Chand et al. 2017) found  
69 that some models failed to realistically simulate a reasonable global climatology of TCs, thus  
70 limiting the present study to only the 12 CMIP5 models that were deemed satisfactory by  
71 those studies. Moreover, when interpreting results from multi-model ensembles, we also  
72 acknowledge the concerns raised by Sanderson et al. (2015) that statistical interdependence  
73 between CMIP5 models needs to be considered.

74 The outline of this paper is as follows. Section 2 contains the data, definitions and  
75 methods used in the study. Section 3 provides assessment and validation of models and  
76 climate projection results. Section 4 discusses the physical mechanisms that drive significant  
77 projected changes in TC track densities. Finally, a summary, discussion of results and  
78 prospects of future work are given in Section 5.

## 79 **2. Data, Definitions and Methods**

### 80 *a. Observational data*

81 The International Best Track Archive for Climate Stewardship (IBTrACS-WMO v03r08,  
82 Knapp et al. 2010) data over the observationally consistent period (1989-2013) is used to  
83 define the observed TC track climatology and to give a baseline of TC characteristics. For the  
84 purpose of this study, an observed TC track is defined to begin at a the location the storm first  
85 reaches a 10-minute sustained wind speed of  $17 \text{ m s}^{-1}$ , with storms not reaching this intensity  
86 at any time of their lifetime excluded from the analysis. Tracks are terminated if a forecast  
87 centre no longer tracks them (i.e. track information ceases in the database) or if they  
88 encounter an objectively diagnosed subtropical jet (Tory and Dare 2015) after which the  
89 storm has likely to have lost its physical TC characteristics.

### 90 *b. CMIP5 model data*

91 Twelve models from the Coupled Model Intercomparison Project phase 5 (CMIP5, Taylor et  
92 al. 2012) are used in this study (Table 1). Note that CMIP5 experiments contain the current  
93 generation of climate models that provide a wide array of platforms to test current and future  
94 climate scenarios (e.g., Knutti et al. 2013). The two scenarios assessed in this work are (1) the  
95 current-climate simulation over the period 1970 – 2000 for model evaluation and assessment,  
96 and (2) the future-climate simulation over the period 2070 – 2100 under the Representative  
97 Concentration Pathway 8.5 (RCP8.5) for projection analyses. RCP8.5 represents an  $8.5 \text{ W m}^{-2}$

98 <sup>2</sup> increase in radiative forcing values in the year 2100 as compared to the pre-industrial  
99 emission levels (Riahi et al. 2011) and was chosen to best elucidate any changing TC  
100 behaviour in a warmer climate.

101 *c. Multi-model ensembles and significance tests*

102 Differences between model physics parameterisations, as well as deficiencies in models'  
103 ability to resolve atmospheric processes, can create potential biases in the results of  
104 projection analyses. In this study, we statistically reduce some of these biases by combining  
105 results of all models together to form a multi-model ensemble. In the analysis, results are  
106 considered statistically robust if large proportions of models agree on the sign and magnitude  
107 of the change. A statistical significance test to evaluate the robustness is determined at the  
108 95% level and is based on a binomial distribution (e.g., Chand et al. 2017). Thus for a 12-  
109 model ensemble, the number of models required to attain the 95% level of significance is 10.  
110 However, this test assumes model independence, and based on the analysis of Knutti et al.  
111 (2013) and Sanderson et al. (2015), some models may be in violation of this assumption. As a  
112 result, we additionally employed a smaller set of independent models for all our analyses (see  
113 Appendix A for details). This “smaller” multi-model ensemble is comprised of five models  
114 and requires all models to agree on the sign of change to achieve the 95% significance.

115 *d. Detection and tracking*

116 The OWZ TC detection and tracking scheme (Tory et al. 2013a) is used in this study to detect  
117 and track TCs in all models. The OWZ scheme has undergone scrupulous validation in  
118 reanalysis data in terms of annual TC numbers and genesis positions (Tory et al. 2013b) and  
119 more recently in terms of tracks at 12-hr intervals (Bell et al. 2018). Key details of the OWZ  
120 detector and tracker are provided in Tory et al. (2013a) while a short summary of the scheme  
121 is provided in the appendix of this paper for easy reference (Appendix B).

122 Crucially, the Bell et al. (2018) track validation study identified a limitation of the  
123 tracking scheme, in that it detected a higher than expected frequency of short duration TC  
124 tracks in ERA-Interim. This limitation also affected TC tracks in models (Fig. 1), and so  
125 necessary steps are taken to minimise its impact on projection results following the analysis  
126 of Bell et al. (2018), who showed that most of the short lifetime tracks (< 48-hr) in models  
127 were likely to be associated with detector related errors. As such, TC tracks less than 2-days  
128 in lifetime are removed from the analysis to achieve a more accurate climatology.

129 To be consistent with the definition of the observed TC tracks, the objective TC track  
130 definition established in Bell et al. (2018) is also used here. This definition states that a TC  
131 track detected by the OWZ scheme commences from the TC declaration location<sup>1</sup> and  
132 terminates where a TC either dissipates or encounters an objectively diagnosed subtropical  
133 jet.

#### 134 *e. Cluster analysis*

135 The probabilistic curve-clustering technique of Gaffney (2004) is used to group TC tracks of  
136 similar properties in space and time over the entire SH. TCs in certain sub-regions with more  
137 erratic tracks have undergone further cluster analysis to elucidate more meaningful patterns  
138 (see Section 3). Implementation of the clustering technique follows prior studies (e.g.,  
139 Camargo et al. 2007; Chand and Walsh 2009) where linear regression mixtures are fitted to  
140 each track with a pre-defined number of clusters,  $k=7$  in this case (e.g., Ramsay et al. 2012;  
141 Bell et al. 2018), to produce a zonally consistent solution for the SH basins (Fig. 2).

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<sup>1</sup> The TC declaration location was found to best match the timing at which TCs in IBTrACS data first reached a 10-minute sustained wind speed of 17 m s<sup>-1</sup>.

143 *f. Seven meridional-bounded regions*

144 Information provided by cluster analysis from the best track observations (Fig. 2a) are  
145 represented as kernel density estimates that enclose 75% of the TC genesis positions in each  
146 cluster to allow a direct comparison with the models. However, when the analysis was  
147 repeated for the models<sup>2</sup> (Fig. 2b), a slight shift in the cluster position was found (e.g.,  
148 meridional location of the Indian Ocean clusters). We note that when comparing TC number  
149 results in different climate scenarios, changing orientations of corresponding clusters could  
150 mask actual changes (or even produce spurious results), making comparisons difficult. This  
151 issue is further exacerbated by the large geographical size of the study area under  
152 consideration and the high number of clusters used.

153 Therefore, we decided to use the clustering results from the observations to define  
154 geographically consistent regions for ease of comparison. That is, we use the 75% genesis  
155 contour of each cluster in IBTrACS to define consistent regions for the entire experiment  
156 (including models) instead of re-clustering each new set of model data. Given that clusters  
157 are largely adjacent to each other across the hemisphere, simple meridional lines were used to  
158 define the seven regions (R1–R7, Fig. 2). The positions of the meridional lines were carefully  
159 chosen and adjusted to achieve as distinct regions as possible, including taking into  
160 consideration the general track type of TCs in neighbouring clusters.

161 **3. Evaluation and Projection of TC Track Density Change**

162 *a. Regional genesis density and TC numbers*

163 Changes in TC track density are dependent on both TC numbers (also used interchangeably  
164 with genesis frequency throughout the paper) and track directions. We start by evaluating the

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<sup>2</sup> Note that biases exist in models causing TCs to be detected in the far eastern South Pacific (east of 225°E). These detections are excluded from this cluster analysis to avoid creating an additional arbitrary cluster.

165 ability of the 12 CMIP5 models (hereafter collectively referred to as the 12-M ensemble) to  
166 simulate regional scale (R1–R7) TC genesis locations and frequencies in the SH.

167         It is evident from the analysis that the 12-M CMIP5 models can realistically simulate  
168 spatial patterns of the observed genesis density estimates reasonably well in most regions  
169 (Fig. 2). However, an exception is the eastern South Pacific region (i.e. farther east of R7)  
170 where a number of TCs are detected by the models that were not present in the observations,  
171 as also noted in prior studies (e.g., Tory et al. 2013; Chand et al. 2017). This could be  
172 potentially due to climate model biases in simulating an overly zonal orientation of the South  
173 Pacific Convergence Zone (SPCZ)<sup>3</sup> in the eastern Pacific (e.g., Brown et al. 2012; Grose et al.  
174 2014). In addition, Tory and Ye (2018) investigated the different constraints that restrict TC  
175 formation in a selection of CMIP5 models. They found these models were unable to correctly  
176 simulate the cool sea-surface temperatures and associated dry middle troposphere that  
177 inhibits TC formation in this region. The average annual number of TCs detected by the 12-M  
178 ensemble in current-climate simulation was also found to compare well with the observed  
179 climatology (Table 2). However, some slight overestimations were noted, particularly near  
180 Madagascar (R1) where an additional ~2 TCs per year were detected.

181         We next turn our attention to regional projections of TC numbers between the current-  
182 and future-climate simulations from the CMIP5 models (Table 2 and Fig. 3). Results indicate  
183 a widespread reduction of TC detections by the late twenty-first century, particularly in the  
184 South Indian basin (i.e., regions R1–R4). Here, each region experiences a statistically  
185 significant reduction in mean climatological numbers (at the 95% significance level), with the  
186 largest reduction (~42 %) occurring in R3 located between 85°E and 105°E. The region north

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<sup>3</sup> The SPCZ is the dominant climatic feature in the south Pacific where tropical cyclone activities are often spawned, and any biases in the position and orientation of the SPCZ will have implications on tropical cyclones forming in the region.

187 of Australia (R5) experiences about a 15% reduction in TC numbers (though this is not  
188 statistically significant), while there is no clear indication of any change off the east coast of  
189 Australia (R6). Farther east into the South Pacific (R7), a statistically significant reduction in  
190 TC numbers (~15 %) is also found.

191 We recognize that some models used in these analyses (12-M ensemble) are very  
192 similar in physical characteristics and that model interdependence may complicate the  
193 interpretation of multi-model ensemble results (Knutti et al. 2013; Sanderson et al. 2015). For  
194 this reason, as well as to correct model biases in reproducing TC climatology in the SH (e.g.,  
195 detections east of R7), our analyses were repeated with a 5-model (5-M) ensemble. Details on  
196 the selection of these five models, based on independence (Knutti et al. 2013) and a number  
197 of other criteria for statistical bias corrections, can be found in Appendix A. Overall,  
198 projection results from the 5-M ensemble were very similar with the 12-M results (Table 2  
199 and Fig. 3), instilling our confidence in the 12-M results.

#### 200 *b. Regional TC tracks*

201 The second aspect of track density change analyzed is the frequency and direction of TC  
202 tracks. Here we evaluate the ability of the five independent CMIP5 models (5-M ensemble)  
203 to simulate these track characteristics in each region (R1–R7) of the SH. It is important to  
204 emphasize that within some regions (R3–R7), there exists distinct westward and eastward  
205 trajectories that are not well captured by the seven mean trajectories (as in Fig. 2). Therefore,  
206 we sub-divide these regions by implementing a further cluster analysis with respect to two  
207 clusters in an attempt to separate the tracks into two distinct types: eastward and westward  
208 moving tracks (Figs 4–6)<sup>4</sup>. Regional track characteristics are then evaluated with measures of

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<sup>4</sup> Note for Region-3 we found a manual split into “eastward” and “westward” more interpretable than those given by cluster analysis. Here, tracks were classified as either “eastward” or “westward” based on their longitude positions at two-thirds of their lifetime in relation to their genesis position. In addition, westward

209 mean track trajectory, mean TC lifetime and the number of eastward and westward moving  
210 tracks.

211 The mean direction of TC tracks detected by the 5-M ensemble in current climate  
212 simulations were found to compare quite well with the observed climatology (Figs 4–6).  
213 However, the mean TC trajectory lengths were often slightly underestimated in models, for  
214 example in R1 and R6-East, potentially due to biases in estimating endpoints of tracks both in  
215 observations and models. In contrast to the latter result, the mean TC lifetimes were well  
216 simulated in most regions (Table 4), and we note that underestimated TC trajectory lengths  
217 were attributed to a slight underestimation of model tracks in the region poleward of 30°S  
218 (not shown) rather than underestimated lifetimes. The observed ratios between westward and  
219 eastward TC tracks in R3, R5, R6 and R7 were found to compare well with the current-  
220 climate 5-M simulations (Table 3). However, we note an overestimation of the model  
221 simulated westward tracks in R4 (where 48% tracked westward), causing a discrepancy with  
222 observations (where just 18% tracked westward).

223 We next examine projected changes in the tracks between the current-climate and  
224 future-climate (under RCP8.5) conditions (far right panel in Figs 4–6). For ease of  
225 comparison, the 5-M ensemble mean trajectories for the current- (“black tracks”) and future-  
226 (“red tracks”) climate simulations are plotted on the same axis (Fig. 7). Overall, some small  
227 shifts in mean genesis locations (circles) are noted (e.g., poleward movement in R2, R3, R4  
228 and R6-West under RCP8.5; see also Kossin et al. 2014; Moon et al. 2015). For the mean  
229 trajectories, there seems to be no clear deviation between the current and future climate  
230 simulations, although one exception appears to be the trajectory of R6-West. This trajectory  
231 appears to shift from west-southwest in the current-climate to more southwestward in the

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heading model tracks in the South Pacific (Region-7) that do not appear in the observed climatology are separated by an additional cluster (to a total of 3).

232 future-climate, potentially shifting mean TC landfall positions farther south over the eastern  
233 coast of Australia (see also Fig. 5, bottom-right panel).

234 Furthermore, projected changes in TC numbers are also compared for the eastward  
235 and westward trajectories defined earlier (for regions R3–R7; Table 3). The significant  
236 decrease of TC numbers in the central South Indian (R3) was found to more heavily reduce  
237 the eastward tracks (65%) than the westward tracks (39%). The decrease in TC numbers over  
238 northern Australia (R5) was found to reduce the westward track numbers by 33%, yet the  
239 number of eastward tracks was found to increase by 6% (although the change in the latter was  
240 not considered statistically significant). Westward TC tracks in the Coral Sea region (R6)  
241 were found to increase by 16%, with region wide numbers offset by an accompanying  
242 decrease in eastward numbers (13%). Farther into the South Pacific (R7), projected decreases  
243 in TC numbers were more evenly spread across the different track types (Table 3). For  
244 completeness, projected changes in mean TC track lifetimes were also examined (Table 4)  
245 but no major changes ( $> 1$ -day) were noted.

#### 246 *c. Track density change*

247 The prior sections have separately given detailed analyses of the two aspects of TC track  
248 density changes between the current- and future- climate model simulations. Here, we  
249 combine these two aspects into a single measure called “TC activity” that can collectively  
250 explain changes in TC track density under the RCP8.5 scenario. TC activity is measured by  
251 accumulating the number of unique TCs either forming or entering a  $2.5^\circ \times 2.5^\circ$  grid box over  
252 the entire SH basin for both current- and future-climate simulations using the 12-M ensemble  
253 of CMIP5 models (Fig. 8). TC activity is found to decrease significantly (up to 3 TCs per  
254 decade) throughout the South Indian basin extending through to  $135^\circ\text{E}$  (i.e. including R1–  
255 R4, and R5-West) in the future-climate. The decrease in TC activity is most concentrated

256 centrally in the basin (R3), occurring over the open ocean. However, the coastal communities  
257 of east Africa, Madagascar and western Australian region are likely to experience a similar  
258 decrease in activity (~1–2 per decade). Overall, these decreases are likely from the significant  
259 decreases in TC numbers over those regions (Section 3a) rather than projected changes in  
260 track directions that showed little deviation (Section 3b).

261 East of 135°E, projected changes in TC activity are comparatively smaller in  
262 magnitude (mostly within  $\pm 1$  TC per decade). Notably, the small patch of increased TC  
263 activity alongside the eastern coast of Australia is supported by significant agreement  
264 between individual models of the 12-M ensemble (not shown). TC activity here is influenced  
265 by the number and mean trajectory of TCs in the R5-East and R6-West sub-clusters. In R6-  
266 West, TC numbers were found to slightly increase in magnitude for both the 12-M and 5-M  
267 ensembles (Table 3). The mean trajectory of R6-West was also found to move more  
268 favourably into this patch of increased density (Fig. 7). However, the combination of these  
269 effects only amounts to a very small increase in the coastal TC activity (~1 per twenty years).  
270 Furthermore, it is beyond the scope of this study to attribute poleward or other shifts in track  
271 density to climate change as it may require complicated downscaling techniques (e.g., Walsh  
272 and Katzfey 2000; Emanuel 2013). East of the dateline (R7), TC activity was generally found  
273 to decrease (~1 per decade) and was attributed to decreasing TC numbers in this region as  
274 also noted in several other previous studies (e.g., Tory et al. 2013c).

#### 275 **4. Changes in the Large-scale Environment**

276 As seen earlier, substantial changes in TC activity between the current-climate simulation and  
277 future-climate RCP8.5 simulation were attributed to changes in TC numbers rather than  
278 tracks. Therefore, the large-scale environmental parameters associated with TC formation are  
279 empirically analysed here to better understand these projected changes. TC formation is

280 controlled by a set of dynamic and thermodynamic environmental parameters (e.g., Gray  
281 1975; Tory and Frank 2010), noting that not all of these parameters may be relevant on  
282 climate time scales.

283 The dynamic parameters evaluated include relative vorticity at 850 hPa, environmental  
284 vertical wind shear between 850 and 200 hPa and low-level convergence at 850 hPa. The  
285 only thermodynamic parameter evaluated is mid-level relative humidity at 700 hPa. Values of  
286 large-scale fields (such as  $u$  and  $v$  components of winds at respective levels) needed to  
287 compute these parameters are taken during the peak of the SH TC season (i.e. December to  
288 February), and then composited over all models in consideration to form multi-model  
289 ensembles for the current- and future-climate simulations (Figs 9 and 10).

290 To a large extent, all large-scale environmental parameters exhibit less favourable  
291 future conditions for TC development in the South Indian Ocean (west of 130°E; regions R1-  
292 R4) where models project a strong reduction in climatological numbers between the current-  
293 and future-climate (Fig. 3). Cyclonic relative vorticity is reduced in the main genesis zone  
294 (~8 – 15°S), particularly evident in R1 around Madagascar, and appears to be the main factor  
295 inhibiting TC development here in the future climate (Fig. 9a; Fig. 10a). Reduced mid-level  
296 relative humidity (Fig. 9c; Fig. 10c), as well as reduced low-level convergence (Fig. 9d), are  
297 also consistent with a reduction in TC numbers.

298 In R5 north of Australia (~130 – 155°E), we see a slight enhancement of cyclonic  
299 vorticity (Fig. 9a; Fig. 10a), particularly near the east Australian coast (~ 140°E, 12°S). While  
300 these conditions should favour development of TCs, we suspect that projected decline in the  
301 number of TCs in this region is strongly modulated by substantially reduced low-level  
302 convergence (Fig. 9d) and to a lesser extent by relative humidity (Fig. 10c). In the two South  
303 Pacific regions (R6 and R7; 155°E – 135°W), increased environmental vertical wind shear

304 (Fig. 9b), and to a lesser extent reduced low-level relative vorticity and convergence (Fig. 9a  
305 and Fig. 9d), appear to be the main factors inhibiting TC formation.

## 306 **5. Discussion and Summary**

307 A comprehensive study was undertaken in the SH to examine the likely projected changes in  
308 TC track densities due to anthropogenic greenhouse warming. Climate model simulations  
309 from the CMIP5 experiments were used to assess changes in TC track densities between the  
310 current (1970–2000) and future-climates (2070–2100). Two multi-model ensembles were  
311 used, a 12-M and a 5-M version, with the latter only containing models that met more strict  
312 criteria such as model independence.

313 Unlike previous climate projection studies for the SH basins, we have objectively  
314 created seven regions (R1–R7) based on cluster analysis where each region is  
315 characteristically distinct in terms of mean genesis location and track shapes. After robust  
316 statistical assessment and validation of TC numbers and tracks for each region using  
317 observed records from the IBTrACS database, CMIP5 simulations from the current-climate  
318 were compared with those from the future-climate (under RCP8.5) to examine the influence  
319 of increasing atmospheric greenhouse gas concentrations on regional scale TC characteristics  
320 in the SH basins. The major findings of this work are summarised as follows.

- 321 • Compared to observations, CMIP5 models can realistically simulate regional TC  
322 numbers and tracks reasonably well in the SH.
- 323 • TC track density is projected to decrease (~1–3 per decade) by the late twenty-first  
324 century for coastal communities situated in the South Indian Ocean and Western  
325 Australia. This was attributed to a significant decrease in TC numbers west of 130°E  
326 (~18–42%; R1–R4) due to changes in environmental parameters such as reduced  
327 relative humidity, relative vorticity and convergence.

- 328 • TC track density change over eastern Australia and the Coral Sea was found to be  
329 fairly minor (within  $\pm 1$  TC per decade). Notably, poleward of  $20^{\circ}\text{S}$  on the eastern  
330 Australian coast, there was significant agreement between models of a small increase  
331 in track density ( $\sim 1$  per twenty years). This could be potentially due to an increase in  
332 the number of Coral Sea storms tracking westward (R6-West), increased lifetime of  
333 R5-East storms, or change in mean trajectory of R6-West storms.
- 334 • Over the South Pacific (R7), a decrease in TC track density was found ( $\sim 1$  per  
335 decade). This was attributed to a significant decrease in TC numbers ( $\sim 15\%$ ),  
336 consistent with increased environmental vertical wind shear, and to a lesser extent,  
337 reduced low-level cyclonic vorticity and convergence.
- 338 • Projected changes in the mean lifetime of TC tracks in each region were also  
339 examined but no indication of any major change ( $> 1$  day) between current- and  
340 future-climate simulations were established.

341 Overall, the projections of annual TC numbers given by this study are consistent with  
342 fine resolution (60 km) model experiments performed by Murakami et al. (2012) as they also  
343 showed a more confident reduction in TC numbers over the South Indian Ocean than the  
344 South Pacific (as per their Fig. 4). Using slightly coarser resolution model experiments,  
345 Rathmann et al. (2014) also showed similar results, emphasising that the significant decrease  
346 of TCs in the South Indian Ocean under RCP8.5 was consistent with more frequent early  
347 twentieth century (1900–1930) TCs. Similar to the present study, Sugi et al. (2014) attributed  
348 a less favourable large-scale environment to the widespread reduction of TC numbers in the  
349 South Indian Ocean. Our result of no major changes in TC track lifetimes was consistent with  
350 the results of Knutson et al. (2010) but in contrast to Rathmann et al. (2014) who projected  
351 TC lifetimes in the SP basin (i.e. in our R5–R7 regions) to decrease by 19%.

352 Future areas of scientific interest suggested by this study include: (1) the extent at  
353 which projected changes are modulated by ENSO, (2) quantitative assessment of the drivers  
354 of eastward and westward tracks in the regions R3–R6 with respect to natural modes such as  
355 monsoonal waves and ENSO, and (3) further investigation into the causes of a slight increase  
356 in TC activity poleward of 20°S on the eastern Australian coast.

357

### 358 *Acknowledgements*

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## 373 **Appendices**

### 374 **A. Robustness of multi-model ensembles**

375 We test the robustness of the 12-M ensemble results as it may be argued that some key  
376 assumptions may be violated on the following grounds.

- 377 (1) Model independence: presence of same family members in the ensemble.
- 378 (2) Model biases: models simulating TCs where they are not observed in the real world  
379 (e.g. eastern South Pacific).
- 380 (3) Model reliability: ensuring models correctly simulate SH TC formation and track  
381 climatology patterns.
- 382 (4) Sensitivity: exclusion of short lived TCs (<48-hrs) in the analysis.

383 We first address point (4) by repeating the 12-M analysis of Section 3a with short  
384 lived (<48-hr) TCs included. It is found out of the 84 trials (12 models with 7 regions each)  
385 there are only two models that indicate changes of sign from a reduction in TC numbers to an  
386 increase (BCC-CSM1.1m changed from a 1% decrease to 4% increase in R2; while CNRM-  
387 CM5 changed from a 17% decrease to a 2% increase in R3). Similarly, two other regions  
388 changed sign from an increase in TC numbers to a decrease in two other models. Overall,  
389 there is no change in the 95% significance of the sign tests for each region. Confidence  
390 intervals of percentage change in TCs are also similar as the previous analysis (not shown).

391 To address points (1) - (3), we assess each model subject to the following criteria.

- 392 i. Detected model TCs should be within  $\pm 50\%$  of observed climatology [ $0^\circ - 225^\circ\text{E}$ ]

- 393 ii. Less than 5% of a model's TCs should form in the eastern South Pacific (between  
394 [230° – 300°E])<sup>5</sup>
- 395 iii. Spatial density correlations between model TC tracks and observed climatology  
396 should be above 0.85.
- 397 iv. Cluster analysis should produce similar clusters to the observed climatology (as in  
398 Fig. 2a).

399 In order to properly address these concerns (i. – iv.), a decision was made to create a  
400 new ensemble that only contains the models that best meet the above criteria. Knutti et al.  
401 (2013) derived a 'family tree' of CMIP5 models, documenting their similarities and  
402 highlighting how code sharing between institutions is behind some interdependency. Here,  
403 only one model from each family (e.g., Table A1) are allowed to be part of the new ensemble,  
404 to ensure independence is retained. Results for each model (Fig. A1; Table A1; Table A2)  
405 show that the models ACCESS1.0, CCSM4, MIROC5, BCC-CSM1.1M and CSIRO-MK3.6  
406 meet all four criteria, and are therefore first choice members of the new ensemble. The B-tier  
407 includes ACCESS1.3 and HadGEM2-ES but as they share common characteristics with  
408 ACCESS1.0, these are not included in the ensemble. The three GFDL models all have similar  
409 errors from the criteria assessed, with GFDL-ESM2m managing the best score of "C".  
410 However, given that the emphasis of this ensemble is on track related performance, errant  
411 steering in the South Pacific makes this model unsatisfactory to include. Therefore, only the  
412 five A-tier models are used to form the 5-model ensemble (5-M).

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<sup>5</sup> Some models produce TCs where they are not observed, particularly in the South Atlantic and eastern South Pacific [east of 135°W]. Storms forming in the eastern South Pacific are more of an issue here as they are nearby to where real TCs are observed to form, creating doubt around the correct simulation of nearby large-scale conditions necessary for TC formation. Therefore, this assessment criteria is put in place to potentially exclude models from the ensemble if more than 5% of a model's TCs form between [130°W and 60°W].

## 413 **B. OWZ Detection and tracking**

414 The OWZ detection system consists of six parameters (Table B1): minimum thresholds of  
415 OWZ at the 850- and 500 hPa levels, relative humidity (RH) at the 950- and 700 hPa levels,  
416 specific humidity (SpH) at the 950 hPa level and a maximum threshold of vertical wind shear  
417 (VWS) between 850- and 200 hPa. The OWZ variable is a low deformation vorticity  
418 parameter used to identify regions favourable for TC formation at the centre of a semi-closed  
419 circulation (i.e. a 'marsupial pouch'; Dunkerton et al. 2009), within the lower- to mid-  
420 troposphere. More precisely, it is the product of absolute vorticity and the Okubo-Weiss  
421 parameter (Okubo 1970; Weiss 1991) normalised by the vertical components of relative  
422 vorticity squared such that:

$$423 \quad OWZ = \text{sgn}(f) \times (\zeta + f) \times \max \left[ \frac{\zeta^2 - (E^2 + F^2)}{\zeta^2}, 0 \right] \quad (1)$$

424 where  $f$  is the Coriolis parameter,  $\zeta = \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y}$  the vertical component of relative vorticity,

425  $E = \frac{\partial u}{\partial x} - \frac{\partial v}{\partial y}$  the stretching deformation, and  $F = \frac{\partial v}{\partial x} + \frac{\partial u}{\partial y}$  the shearing deformation.

426 The OWZ detection and tracking scheme is concisely summarized in five dot points below,  
427 with further detail accessible in other studies (Tory et al. 2013a; Bell et al. 2018).

- 428 a. Each  $1^\circ \times 1^\circ$  grid point is assessed based on the initial threshold values of each OWZ-  
429 Detector parameter every 12-hrs.
- 430 b. When at least two neighbouring grid points satisfy the initial thresholds of each  
431 OWZ-Detector parameter, these points are considered to represent a single circulation  
432 at that point in time.
- 433 c. The circulations from step (b) are linked through time by estimating their position in  
434 relation to the circulation's expected position based on an averaged  $4^\circ \times 4^\circ$  steering  
435 wind at 700 hPa.

436 d. Tracks are terminated when no circulation match is found in the next two time-steps  
437 within a generous (~350 km) latitude dependent radius.

438 e. The core thresholds are then applied to each storm track, and if they are satisfied for  
439 48-hrs, a TC is declared.

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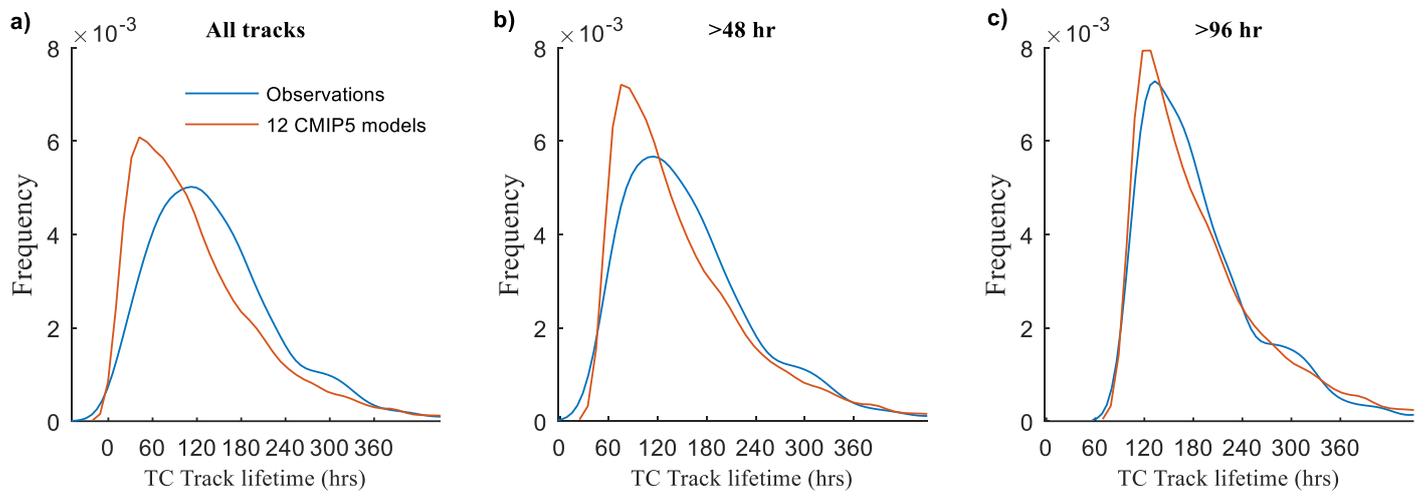
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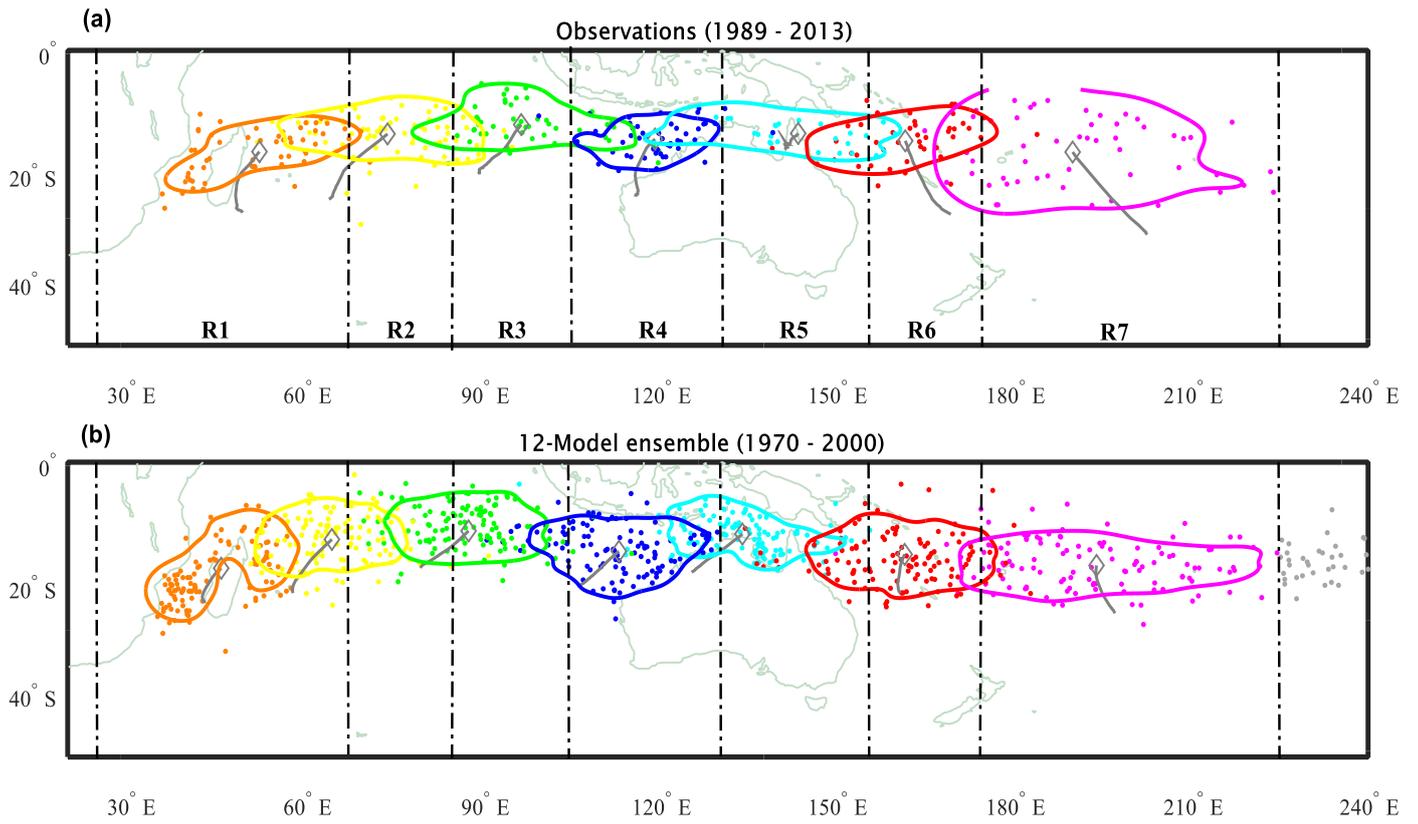
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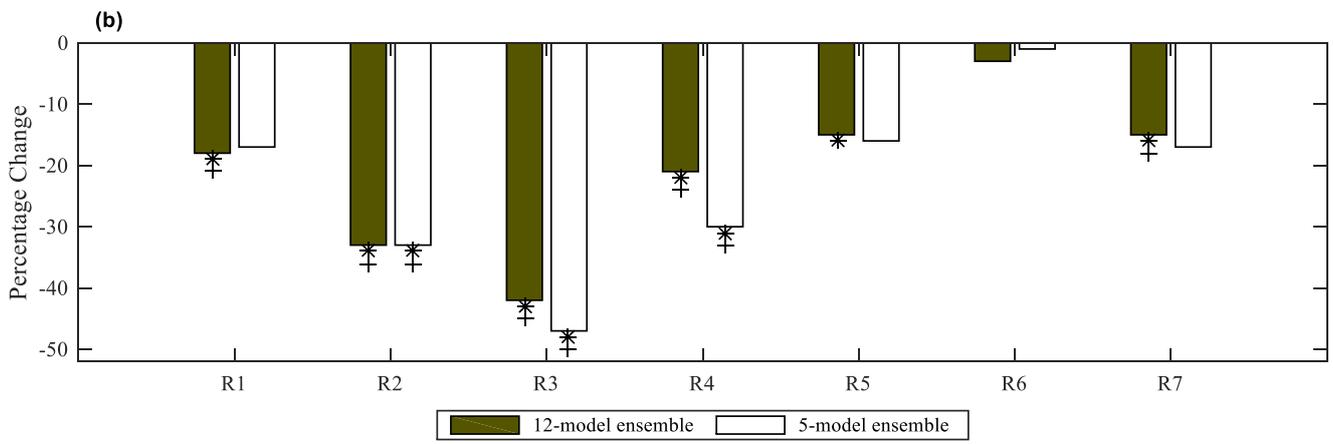
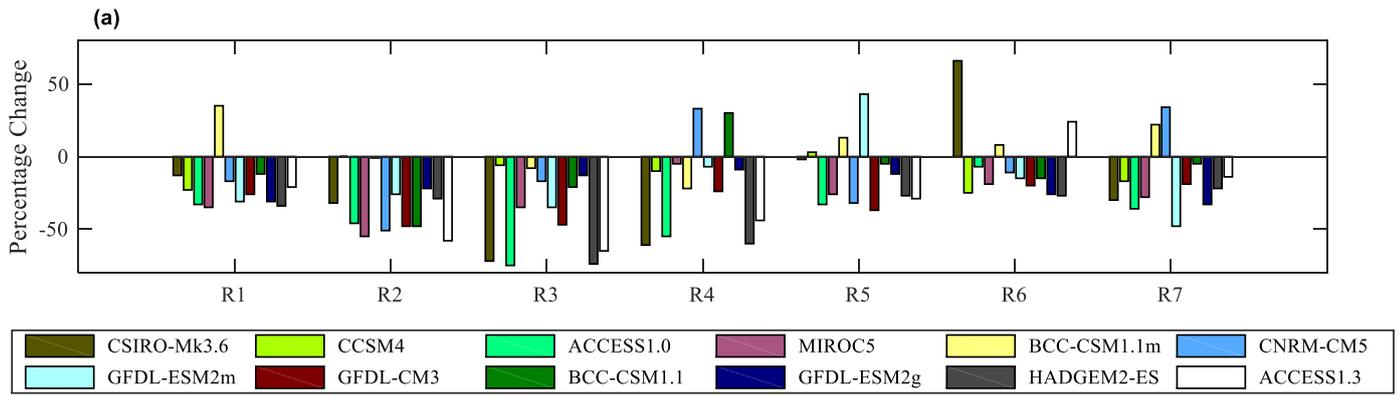
## FIGURES



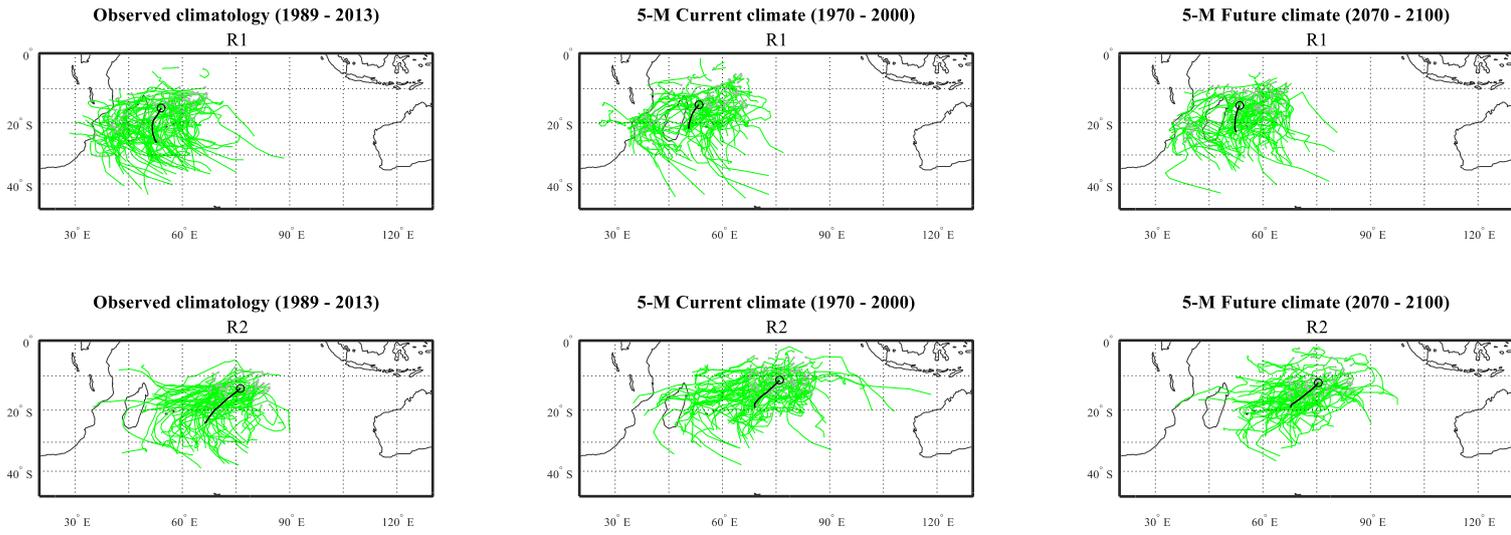
**Fig. 1** Kernel density estimates of the distribution of TC track lifetimes for the observations (blue) and 12 CMIP5 models (orange). (a) all tracks, (b) only tracks that are of at least 48-hr lifetime, and (c) at least 96-hr lifetime.



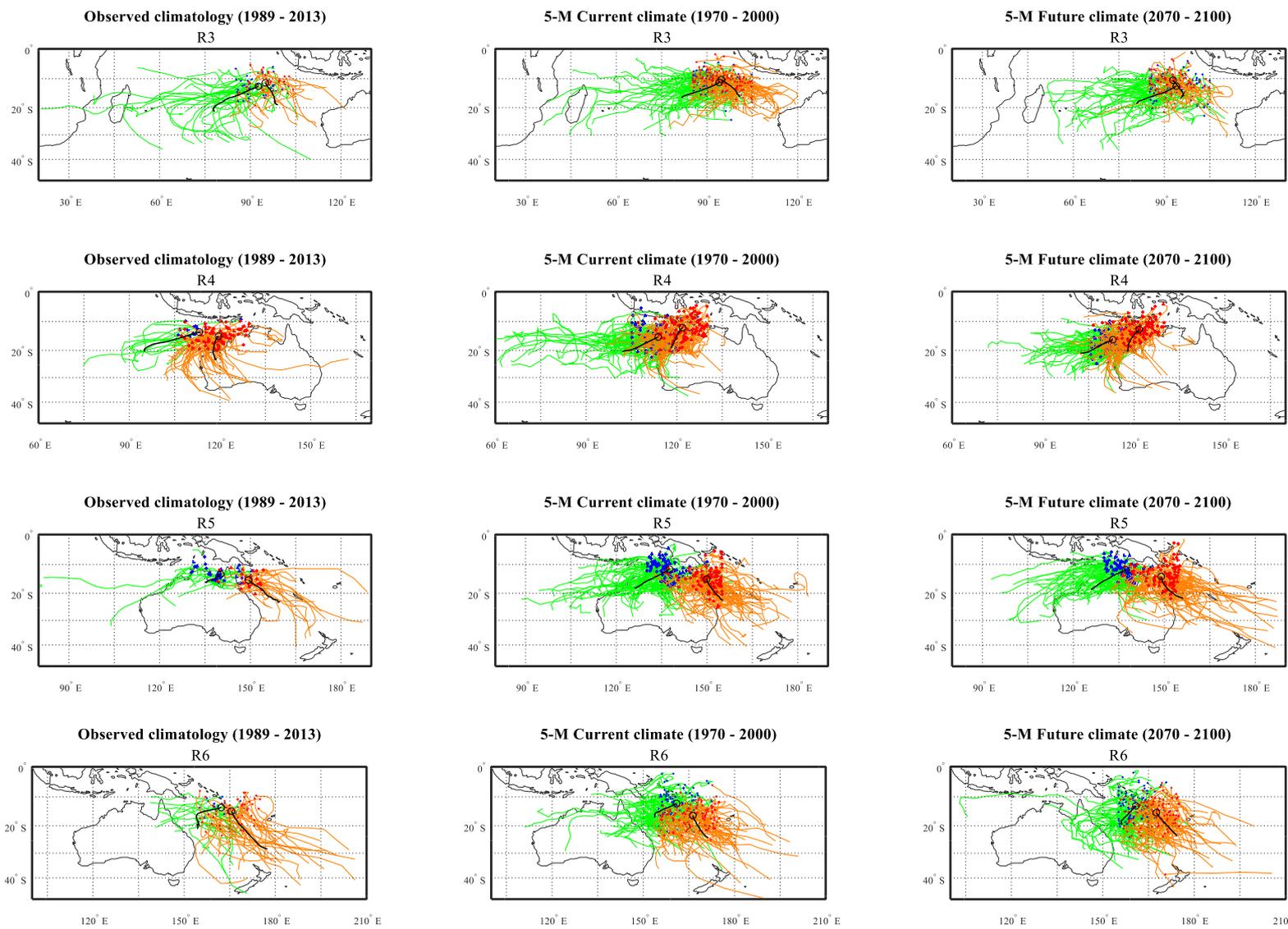
**Fig. 2** Kernel density contours enclosing 75% of the TC genesis locations in each cluster. Up to 120 TC genesis locations are randomly selected from each cluster and displayed on the figure. Mean track trajectory and genesis (grey) for each cluster appear in the centre of the contours. Meridians define the seven genesis study regions (R1-R7) (black dash-dot lines).



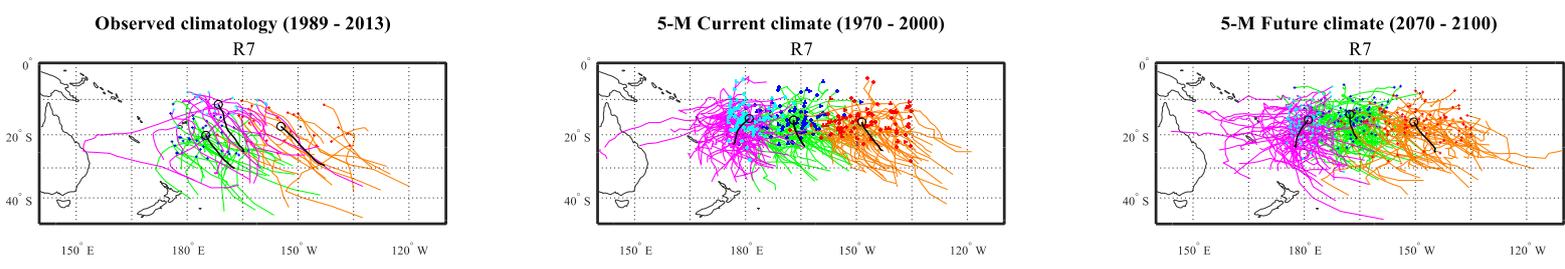
**Fig. 3** (a) Percentage change in mean TC numbers in the CMIP5 models between the current climate simulation (1970-2000) and future climate projection (2070-2100). (b) As in (a) but for the 12-model and 5-model ensembles. Mean changes that are significant at 95% are indicated by an asterisk (\*) while changes that have a 95% significant sign agreement of ensemble members are indicated by a plus (+) symbol.



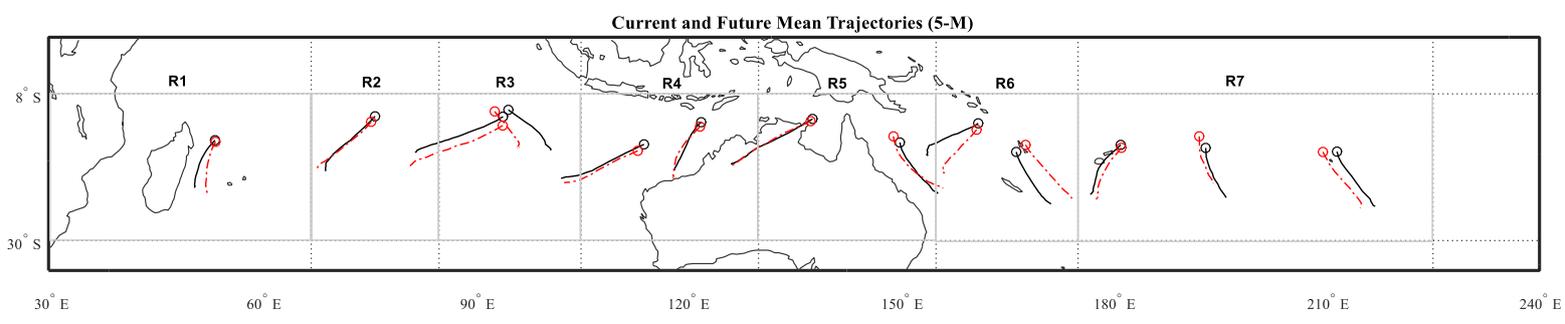
**Fig. 4** Mean trajectories (to a mean plus two standard deviations in length,  $\mu + 2\sigma$ ) and individual TC tracks (random sample of up to 100) of observed and model tracks for the single cluster regions R1 and R2.



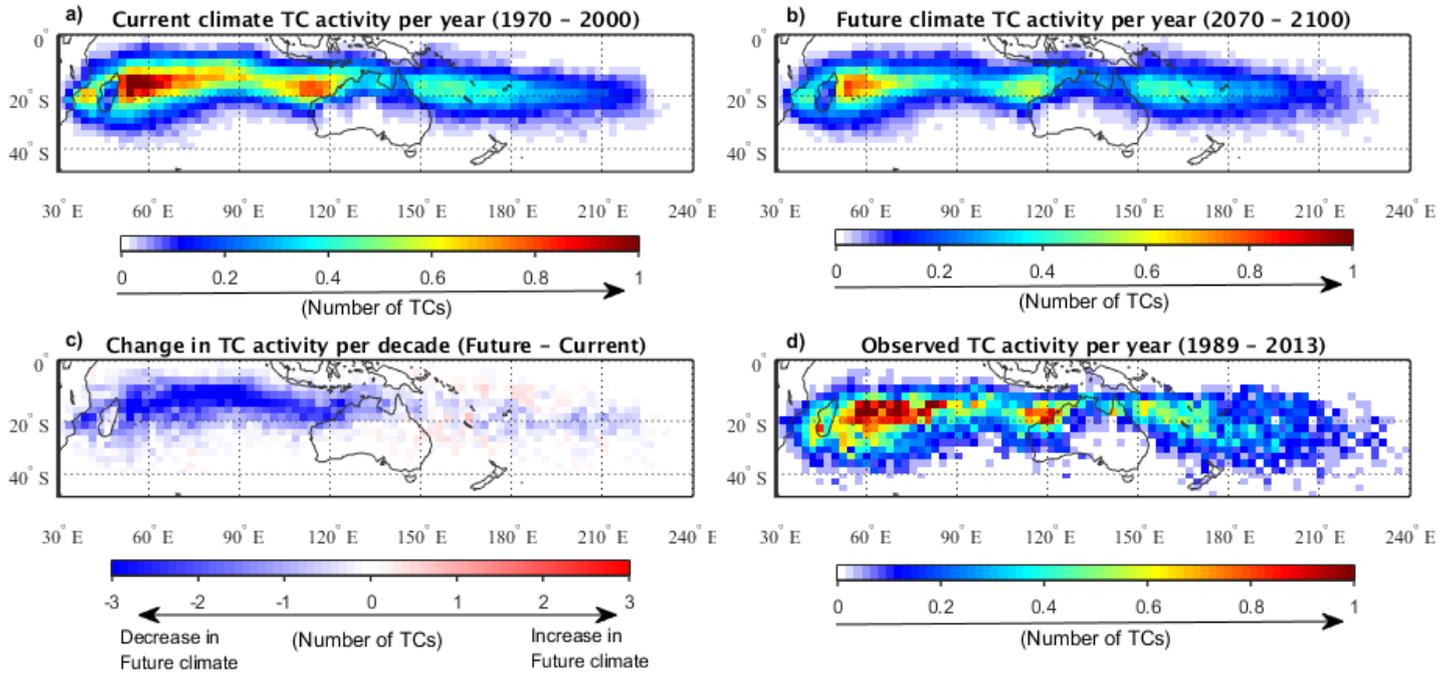
**Fig. 5** As in Fig. 4, but for the 2-cluster regions (R3–R6). Note tracks in Region-3 are manually split into “west” and “east” tracks (see Footnote 4).



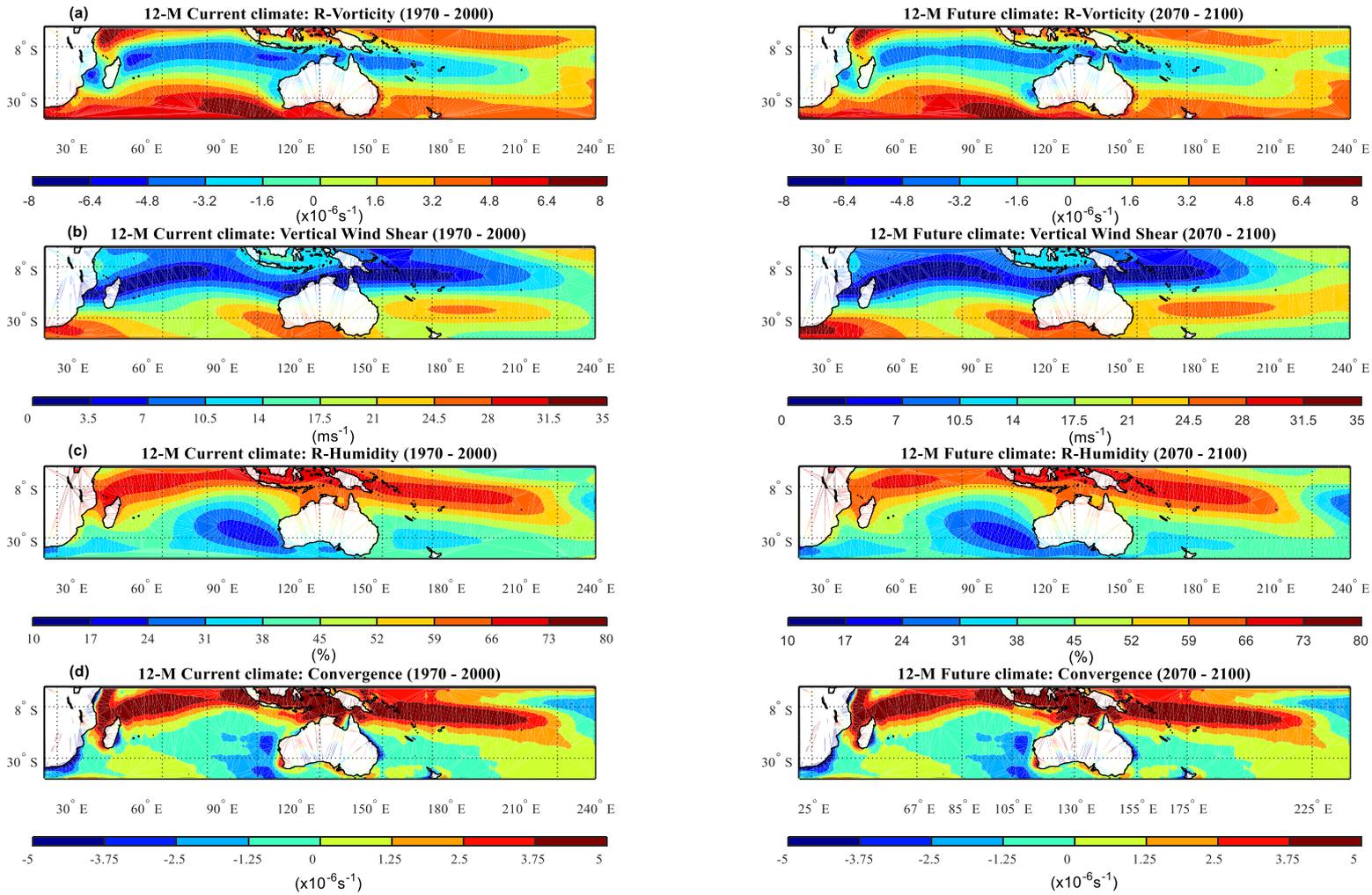
**Fig. 6** As in Fig. 4, but for the 3-cluster region (R7).



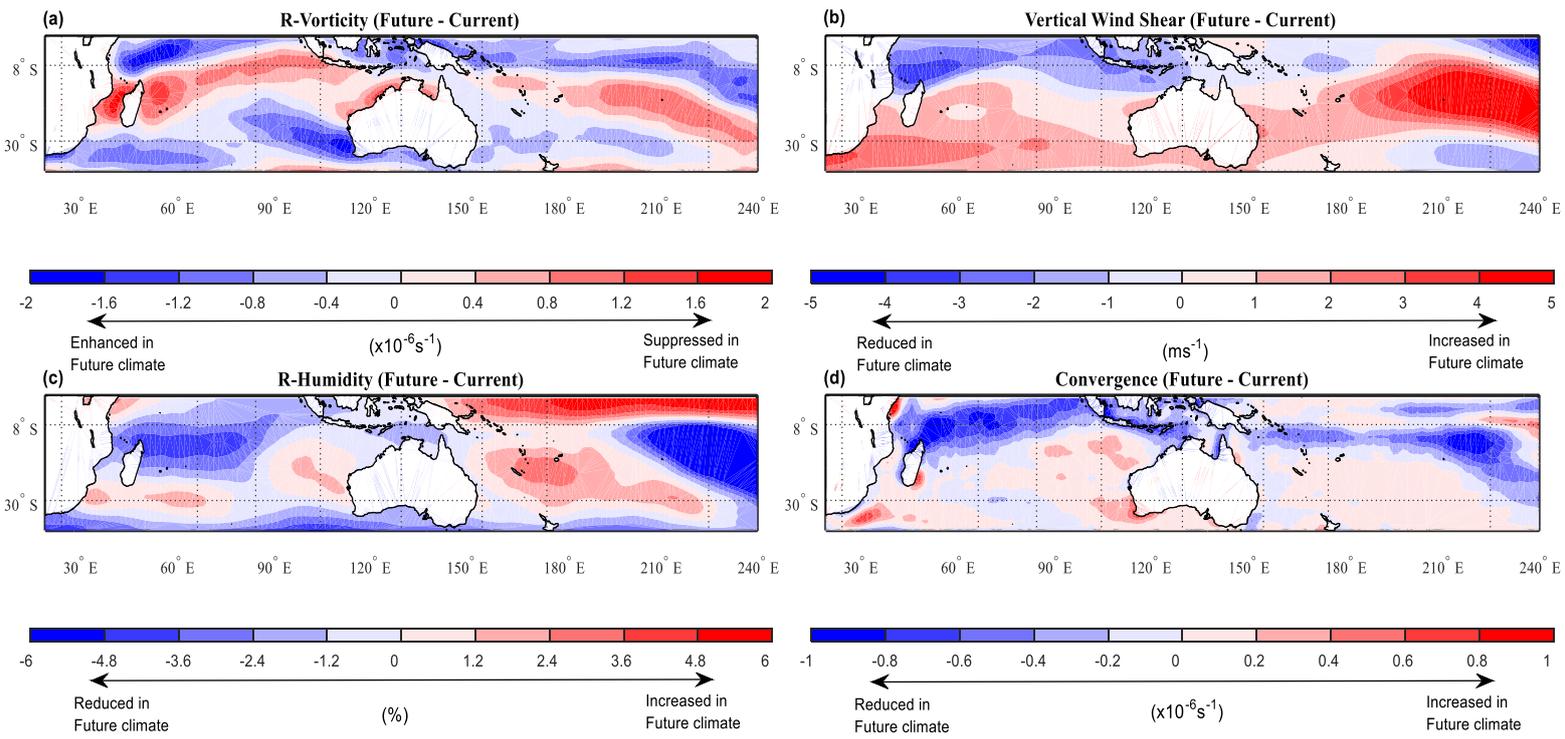
**Fig. 7** Mean trajectories for the current (black) and future (red) climate 5-M ensemble simulations (as in Figs. 4,5,6).



**Fig. 8** TC activity (a measure of the number of unique TCs either entering or forming within a  $2.5^\circ \times 2.5^\circ$  grid-box) for the current- and future-climate 12-M simulations (a,b), projected change in TC activity between these simulations (c) and (d) TC activity observed in the IBTrACS database.

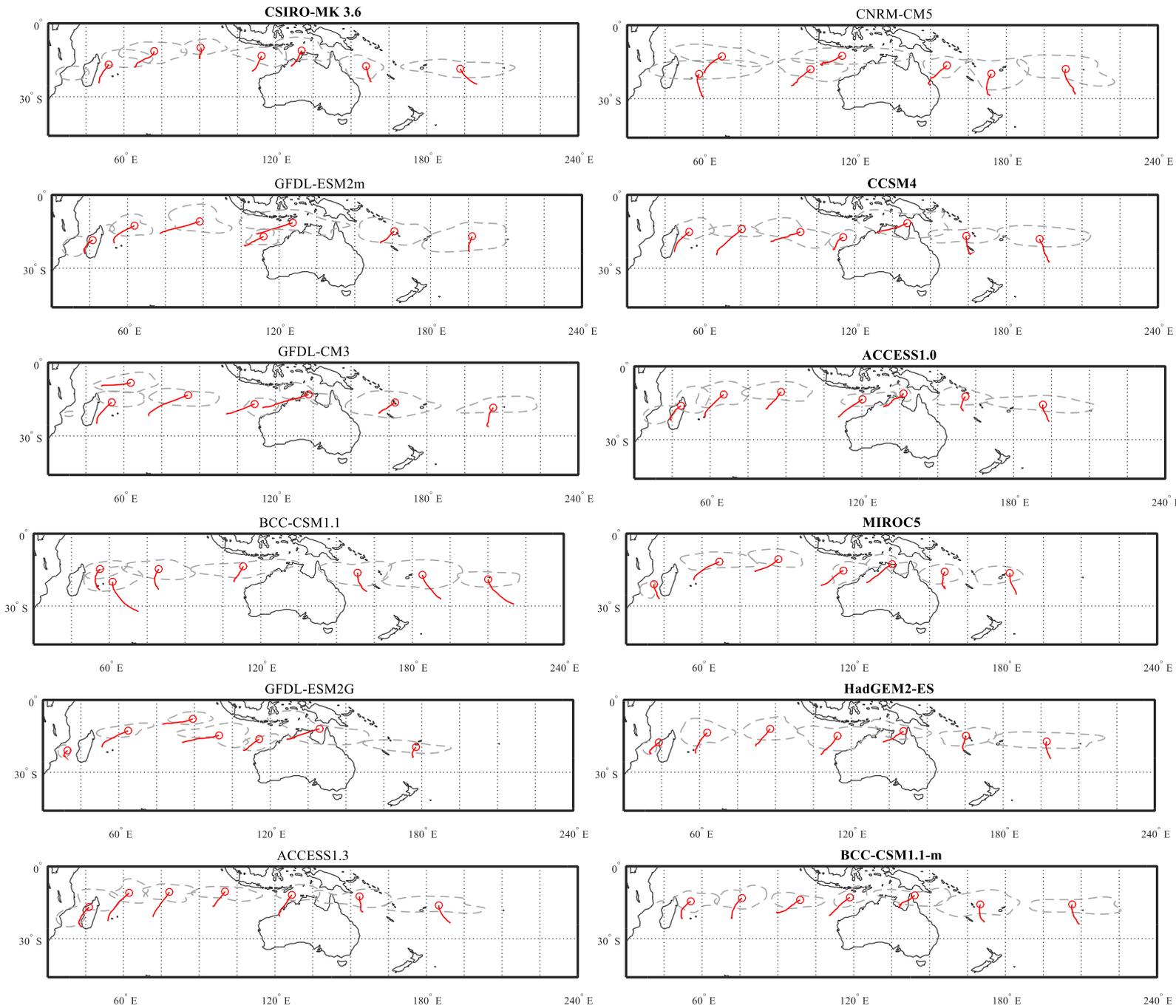


**Fig. 9** Mean kernel density smoothed contours of large-scale TC genesis parameters for the current- and future-climate during the summer months (December-February). For (a) Relative vorticity at 850-hPa where blue (red) shading represents enhanced (suppressed) vorticity in the future climate, (b) environmental vertical wind shear between 250-hPa and 800-hPa where blue (red) shading represents increased (decreased) shear in the future climate, (c) Relative humidity at 700-hPa where red (blue) shading represents increased (decreased) relative humidity, and (d) Low-level convergence where red (blue) shading represents increased (decreased) convergence activity.



**Fig. 10** Difference in the kernel densities of large-scale TC genesis parameters between the future-climate (FC) and current-climate (CC) 12-M ensembles during the summer months (December-February). (a) Relative vorticity at 850-hPa (R-Vorticity), (b) Environmental vertical wind shear between 250-hPa and 800-hPa, (c) Relative humidity at 700-hPa (R-Humidity), and (d) Low-level convergence (850-hPa).

## Supplementary Figures (Appendix A)



**Fig. A1:** 7-cluster solution for each CMIP5 model in the current climate (1970 – 2000). Mean TC trajectory and genesis (red line and circle) of a cluster with a kernel density contours enclosing 50% of the genesis points in that cluster (grey dashed). Models in bold indicates similar cluster orientations as the observations.

## TABLES

**Table 1:** The twelve CMIP5 models used in this study. Number of TC detections (>2 day lifetime) between 0°E and 135°W in the Southern Hemisphere for the current-climate (1970–2000) and future-climate (2070–2100) under RCP8.5. All models listed form the 12-M ensemble, those in bold form the 5-M ensemble.

Model	Horizontal Resolution	TC Detections		Reference
		Current	Future	
GFDL-ESM2M	2.5°	848	643	Donner et al. 2011
GFDL-CM3	2.5°	812	568	Donner et al. 2011
GFDL-ESM2G	2.5°	784	624	Donner et al. 2011
<b>ACCESS1.0</b>	1.9°	967	599	Bi et al. 2012
ACCESS1.3	1.9°	1078	771	Bi et al. 2012
HadGEM2-ES	1.9°	1150	710	Jones et al. 2011
BCC-CSM1.1	2.8°	588	507	Wu et al. 2014
<b>BCC-CSM1.1M</b>	2.8°	649	703	Wu et al. 2014
<b>CSIRO-Mk3.6</b>	1.9°	980	670	Collier et al. 2011
CNRM-CM5	1.4°	322	301	Voltaire et al. 2012
<b>CCSM4</b>	1.2°	509	439	Gent et al. 2011
<b>MIROC5</b>	1.4°	1038	755	Watanabe et al. 2010

**Table 2:** Mean annual TC numbers (and proportion of that region’s numbers) in the seven regions observed in IBTrACS (1989–2013), and from model ensemble current climate simulation (1970–2000), and future climate projection (2070–2100) under RCP8.5. Difference between future and current model TC numbers (Change). Number of models in agreement on the sign of change in TC occurrences (Sign). Sign and 95% Confidence Interval (CI) values in bold indicate significance at the 95% level. An asterisk (\*) indicates one model in that region had the same number of TC occurrences in both current and future climate simulations.

<b>12-model ensemble</b>						95% CI	
Region	Observed	Current	Future	Change	Sign	Lower	Upper
R1	4.2 (20.4%)	6.2 (23.5%)	5.1 (24.3%)	<b>-18%</b>	<b>11/12</b>	<b>-0.30</b>	<b>-0.06</b>
R2	3.2 (15.4%)	3.5 (13.3%)	2.4 (11.3%)	<b>-33%</b>	<b>11/11*</b>	<b>-0.45</b>	<b>-0.21</b>
R3	2.7 (12.9%)	3.1 (11.6%)	1.8 (8.5%)	<b>-42%</b>	<b>12/12</b>	<b>-0.58</b>	<b>-0.26</b>
R4	3.3 (16%)	4.2 (15.8%)	3.3 (15.8%)	<b>-21%</b>	<b>10/12</b>	<b>-0.39</b>	<b>-0.03</b>
R5	1.9 (9.3%)	2.5 (9.7%)	2.2 (10.4%)	-15%	9/12	<b>-0.29</b>	<b>-0.01</b>
R6	2.5 (12%)	2.9 (11%)	2.8 (13.5%)	-3%	9/12	-0.19	0.13
R7	2.9 (13.9%)	3.9 (15%)	3.4 (16.1%)	<b>-15%</b>	<b>10/12</b>	<b>-0.29</b>	<b>-0.01</b>
<b>5-model ensemble</b>						95% CI	
Region	Observed	Current	Future	Change	Sign	Lower	Upper
R1	4.2 (20.4%)	5.9 (22%)	4.9 (23.8%)	-17%	4/5	-0.43	0.08
R2	3.2 (15.4%)	3.7 (13.8%)	2.5 (12.2%)	<b>-33%</b>	<b>4/4*</b>	<b>-0.55</b>	<b>-0.10</b>
R3	2.7 (12.9%)	3.4 (12.7%)	1.8 (8.8%)	<b>-47%</b>	<b>5/5</b>	<b>-0.77</b>	<b>-0.17</b>
R4	3.3 (16%)	4.6 (17.2%)	3.2 (15.7%)	<b>-30%</b>	<b>5/5</b>	<b>-0.53</b>	<b>-0.07</b>
R5	1.9 (9.3%)	3.1 (11.6%)	2.6 (12.7%)	-16%	3/5	-0.34	0.01
R6	2.5 (12%)	2.8 (10.6%)	2.8 (13.7%)	-1%	3/5	-0.34	0.31
R7	2.9 (13.9%)	3.2 (12%)	2.7 (13.1%)	-17%	4/5	-0.38	0.04

**Table 3:** Mean annual TC numbers in each cluster further split into “westward” and “eastward” trajectories by cluster analysis or otherwise. Information appears as: *Mean annual TC numbers (Frequency percentage for that region)*. Westward and eastward splits are implied by 2-cluster solutions (see Fig. 4,5,6), except for Region-3\* and Region-7 (see Footnote 4). Projected changes are between the future and current 5-M mean annual TC numbers, those that are consistent with 12-M results (increase or decrease) are in bold.

<b>Region</b>	<b>Observed (1989-2013)</b>	<b>Current (1970-2000)</b>	<b>Future (2070-2100)</b>	<b>Projected Change</b>
<b><u>R3</u></b>				
R3-West*	1.72 (62%)	2.31 (68%)	1.41 (79%)	<b>-39%</b>
R3-East*	1.04 (38%)	1.09 (32%)	0.38 (21%)	<b>-65%</b>
<b><u>R4</u></b>				
R4-West	0.68 (18%)	2.20 (48%)	1.65 (51%)	<b>-25%</b>
R4-East	3.00 (82%)	2.41 (52%)	1.57 (49%)	<b>-35%</b>
<b><u>R5</u></b>				
R5-West	1.56 (60%)	1.77 (57%)	1.19 (46%)	<b>-33%</b>
R5-East	1.04 (40%)	1.32 (43%)	1.40 (54%)	+6%
<b><u>R6</u></b>				
R6-West	0.76 (29%)	1.08 (38%)	1.26 (45%)	<b>+16%</b>
R6-East	1.84 (71%)	1.77 (62%)	1.54 (55%)	<b>-13%</b>
<b><u>R7</u></b>				
R7-West	-	1.09 (34%)	0.92 (34%)	<b>-16%</b>
R7-East1	1.51 (42%)	1.24 (39%)	0.99 (38%)	<b>-20%</b>
R7-East2	1.16 (32%)	0.89 (28%)	0.78 (28%)	<b>-12%</b>

**Table 4:** Mean TC track lifetimes in each region and sub-region as observed in IBTrACS and as detected in models by the 5-M ensemble. <sup>†</sup>R5-West observed tracks are often terminated at or soon after landfall, causing a discrepancy with model tracks.

<b>Region</b>	<b>Observed (1989-2013)</b>	<b>Current (1970-2000)</b>	<b>Future (2070-2100)</b>
<b><u>R1</u></b>			
	7.3 days	6.6 days	6.4 days
<b><u>R2</u></b>			
	7.5 days	7.6 days	6.7 days
<b><u>R3</u></b>			
R3-West*	8.7 days	7.5 days	6.8 days
R3-East*	5.1 days	5.6 days	5.1 days
<b><u>R4</u></b>			
R4-West	6.3 days	5.7 days	5.1 days
R4-East	5.3 days	6 days	6 days
<b><u>R5</u></b>			
R5-West	4.8 <sup>†</sup> days	8.3 days	8.2 days
R5-East	6.9 days	6.7 days	7.6 days
<b><u>R6</u></b>			
R6-West	7.2 days	7.4 days	7.1 days
R6-East	5.8 days	5.4 days	5.2 days
<b><u>R7</u></b>			
R7-West	-	5.6 days	5.6 days
R7-East1	3.4 days	5.4 days	5.7 days
R7-East2	3.8 days	4.7 days	4.9 days

## Supplementary Tables (Appendix A)

**Table A1:** Criteria assessment of each CMIP5 model. Values in bold indicate that a model has failed to meet a certain criterion. Scores are given based on how well they meet the four criteria with “A” the best performing and “D” the worst. Members chosen for the 5-model ensemble have their scores underlined in the final column. See Table A2 for more information.

Model	C1	C2	C3	C4		Score
<b>Family-1</b>						
GFDL-ESM2M	848	0.03	0.87	<b>N</b>	E4;E5	C
GFDL-CM3	812	<b>0.05</b>	0.90	<b>N</b>	E1;E5	D
GFDL-ESM2G	784	0.01	<b>0.80</b>	<b>N</b>	E1;E4;E5	D
<b>Family-2</b>						
ACCESS1.0	967	0.00	0.86	Y		<u>A</u>
ACCESS1.3	1078	0.00	0.87	<b>N</b>	E4	B
HadGEM2-ES	<b>1150</b>	0.02	0.93	Y		B
<b>Family-3</b>						
BCC-CSM1.1	588	<b>0.09</b>	<b>0.82</b>	<b>N</b>	E2;E3	D
BCC-CSM1.1M	649	0.04	0.91	Y		<u>A</u>
<b>(No family)</b>						
CSIRO-Mk3.6	980	0.00	0.85	Y		<u>A</u>
CNRM-CM5	<b>322</b>	<b>0.05</b>	0.86	<b>N</b>	E3	D
CCSM4	509	0.00	0.93	Y		<u>A</u>
MIROC5	1038	0.00	0.90	Y		<u>A</u>

**Table A2:** Information for Table A1. “x” represents a value in Table A1 for each model assessed.

Criteria	
C1	+50% observed TC numbers, ( $364 < x < 1092$ )
C2	% TCs in Eastern South Pacific, ( $x < 0.05$ )
C3	Spatial correlation with observed, ( $x > 0.85$ )
C4	Cluster result with observed, [Y=Similar, N=Not similar]
Cluster Error Codes	
E1	Low Latitude (<10°S) cluster in South Indian Ocean
E2	Too many clusters in South Pacific Ocean
E3	No cluster north of Australia
E4	Too many clusters in South Indian Ocean
E5	Westward mean trajectory in South Pacific Ocean