

1 **Independently assessing the representation of midlatitude cyclones in high-**
2 **resolution reanalyses using satellite observed winds**

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24 **Abstract**

25

26 High-resolution reanalyses offer the potential to improve our understanding of
27 midlatitude cyclones, particularly smaller-scale systems and those with complex
28 structures. However, previous studies have demonstrated large variations in the
29 frequency and characteristics of Australian midlatitude cyclones between reanalyses
30 when using their native resolution. In this paper we use satellite observations of winds
31 and rainfall in order to evaluate the ability of the ERA-Interim, JRA55, MERRA and
32 CFSR reanalyses to reproduce Australian east coast cyclones. The MERRA reanalysis
33 produces a large number of erroneous small-scale lows without cyclonic wind patterns
34 using a simple pressure-difference based cyclone identification and tracking method.
35 Consequently, we recommend the ERA-Interim reanalysis when using such methods, or
36 applying more complex tracking methods that are able to compensate for these issues.

37

38 **Keywords:** Cyclone, wind, rain, satellite, QuikSCAT, TRMM, reanalysis

39

40 **1. Introduction**

41

42 Cyclones are important weather systems across the globe and particularly in the
43 midlatitudes, where cyclones and their associated fronts cause the majority of heavy
44 rainfall events (Pfahl and Wernli, 2012; Utsumi *et al.*, 2017). On the southeast coast of
45 Australia, the cyclones known as East Coast Lows (ECLs) are responsible for the
46 majority of severe weather events and can cause very strong winds, heavy rainfall,
47 widespread flooding, high sea levels, large waves, and substantial coastal erosion
48 (Callaghan and Power, 2014; Dowdy *et al.*, 2014; Hopkins and Holland, 1997; McInnes
49 and Hubbert, 2001).

50

51 East Coast Lows are frequently defined as cyclones that form or intensify near the east
52 coast of Australia, between 25° and 40°S. This incorporates a broad range of cyclones
53 with different synoptic characteristics, including extratropical cyclones that develop in
54 the midlatitude westerlies and on the wake of passing fronts, subtropical cyclones that
55 develop in a trough near the coast associated with a strong upper-level cut off low, and
56 decaying or transitioning tropical cyclones (Browning and Goodwin, 2013; Speer *et al.*,
57 2009). Some develop explosively overnight, and many have complex characteristics
58 such as daughter cyclogenesis or multiple centres, including smaller-scale mesocyclones
59 that can cause locally enhanced rainfall. For this reason, there remains considerable
60 uncertainty as to the “true” climatology of ECLs, with a number of different databases
61 developed using a range of approaches.

62

63 While earlier databases typically relied on subjective identification of ECLs from sea

64 level pressure fields or records of impacts (Callaghan and Helman, 2008; Holland *et al.*,
65 1987; Hopkins and Holland, 1997; Speer *et al.*, 2009), recent studies increasingly use
66 automated cyclone tracking algorithms to objectively identify ECLs from gridded
67 pressure data (Browning and Goodwin, 2013; Di Luca *et al.*, 2015; Dowdy *et al.*, 2013;
68 Pepler *et al.*, 2015b, 2016). This approach offers a number of advantages, including an
69 increase in the internal consistency of the database and a reduction of human error, as
70 well as the ability to quickly identify cyclones from a large number of data sources such
71 as reanalyses or climate models. However, this approach adds new uncertainties related
72 to the choice of gridded dataset, data resolution, identification method, and settings such
73 as threshold intensities (Neu *et al.*, 2013).

74

75 Di Luca *et al.* (2015, 2016) applied a consistent cyclone identification and tracking
76 method to a range of high-resolution reanalyses and regional climate model outputs.
77 They identified that, despite broad agreement between reanalyses at a common 300 km
78 resolution, there is considerable uncertainty in the frequency and characteristics of
79 ECLs arising from the choice of reanalysis at finer resolutions. On the native grid, the
80 average annual number of ECLs identified ranged between 22 p.a. using the CFSR
81 reanalysis to over 100 p.a. using the MERRA reanalysis, a four-fold increase. This
82 primarily arose from differences in the frequency of smaller and short-duration cyclones
83 and cyclones during the summer months. The difference in cyclone frequencies between
84 modern era reanalyses have also been identified in global studies (Tilinina *et al.*, 2013;
85 Wang *et al.*, 2016), with MERRA identifying consistently more cyclones across both
86 hemispheres than the other three reanalyses and a larger frequency of shallow or weak
87 cyclones.

88 Following Tilinina *et al.* (2013), Di Luca *et al.* (2015) hypothesised that these
89 differences arose due to differences in how the reanalyses solved the equations of state,
90 as well as their cumulus parameterisations. However, as the only “observational”
91 database available was compiled using daily synoptic charts, and thus did not include
92 potentially true small-scale cyclones, they were unable to recommend a particular
93 reanalysis as closest to the truth.

94

95 All datasets of mean sea level pressure are derived from sparse station observations over
96 land, either through direct interpolation or data assimilation into a reanalysis.
97 Consequently, there is no dataset that can be used as ground truth. However, by their
98 nature, cyclones are associated with specific patterns of surface winds and precipitation,
99 with strong differences in both zonal and meridional winds around the cyclone centre
100 and heaviest rainfall poleward of the cyclone centre. Severe ECLs can also be expected
101 to be associated with strong winds and heavy rain. Consequently, satellite analyses of
102 wind velocities and rain rate can be used as a ground truth for assessing the skill of
103 different reanalyses in generating realistic, impactful ECLs.

104

105 In this paper, we will use the Cross-Calibrated Multi-Platform (CCMP) satellite wind
106 speeds (Atlas *et al.*, 2010) between 1988 and 2009, as well as the Tropical Rainfall
107 Measuring Mission (TRMM) satellite rainfall rates (Huffman *et al.*, 2007) between
108 1998 and 2009, to independently assess four different high resolution reanalyses for
109 their representation of East Coast Lows, and identify the reanalysis with the most
110 realistic cyclones.

111

112 **2. Data and methods**

113 **2.1. Cyclone identification**

114

115 Six-hourly sea level pressure data at the native ($\sim 0.5^\circ$) resolution from four different
116 reanalyses were used to identify ECLs, with the main characteristics of each reanalysis
117 presented in Table 1. The two methods used to identify ECLs from reanalysis data are
118 described below.

119

120 *The Pressure Gradient method (PG)*

121

122 The majority of results in this paper will focus on cyclones identified using the method
123 of Di Luca *et al.* (2015, 2016), for consistency with earlier results. This is based on the
124 method of Browning and Goodwin (2013), and identifies cyclones by searching for a
125 local MSLP minima within the ECL region shown in Figure 1. The pressure gradient is
126 then calculated between the cyclone centre and grid points within a 200km radius of the
127 cyclone centre, with a minimum average pressure gradient threshold of 0.8 hPa/100km.
128 Once cyclones have been detected, cyclone tracks are constructed by a nearest
129 neighbour search for cyclones in the subsequent 6-hourly analysis, with cyclones
130 assumed to move no faster than 60 km h⁻¹. A minimum average movement rate of 5 km
131 h⁻¹ is applied to exclude quasi-stationary anomalies, with a minimum duration of 12
132 hours required to remove any cyclones erroneously identified from short-term
133 fluctuations in sea level pressure.

134

135

136 *The Laplacian method (LAP)*

137

138 As the identification of cyclones is sensitive to the choice of ECL tracking method (Neu
139 *et al.*, 2013; Pepler *et al.*, 2015b, 2016), we compared results using the PG method to
140 ECLs identified using the more complex University of Melbourne cyclone tracking
141 scheme. This is one of the most widely-used cyclone tracking schemes (Allen *et al.*,
142 2010; Grieger *et al.*, 2014; Pezza and Ambrizzi, 2003; Pinto *et al.*, 2005), and was
143 previously identified by Pepler *et al.* (2015b) as the best method for identifying ECLs
144 when compared to the Speer *et al.* (2009) subjective ECL database.

145

146 This method first re-grids the sea level pressure data to a consistent polar stereographic
147 grid, with a spatial resolution of approximately 0.5° at 30°S . As part of this process, the
148 pressures are diffusively smoothed using a 0.5° smoothing radius, to reduce the number
149 of erroneous systems generated along trough lines. Cyclones are identified by locating a
150 maximum in the Laplacian of mean sea level pressure before searching for an associated
151 minimum in the sea level pressure field, with a bicubic spline used to provide sub-
152 gridscale detail. The average Laplacian within a 2° radius of the cyclone centre is then
153 calculated, and only cyclones with an average Laplacian greater than $1.5 \text{ hPa (deg.lat)}^{-1}$
154 retained. The Laplacian of topography is also used to filter spurious cyclones arising
155 from the reduction of pressure to mean sea level. Once cyclones have been identified
156 they are combined into tracks using a probability matching function, with only those
157 ECLs with durations of at least 12 hours and at least one cyclone centre present within
158 the domain in Figure 1 retained for analysis.

159

160 To supplement cyclones identified directly from the reanalyses using automated cyclone
161 tracking schemes, we also looked at ECLs identified in the Speer *et al.* (2009) database.
162 This is a subjective database of East Coast Lows between 1970 and 2006, which has
163 since been updated to 2008. ECLs in this database were subjectively identified by
164 skilled observers through visual analysis of the daily 0000UTC hand-drawn synoptic
165 chart prepared by the Bureau of Meteorology's National Meteorological and
166 Oceanographic Centre (NMOC). This dataset allows evaluation of the typical structure
167 of the satellite wind and rain fields for known ECLs; however, this database cannot be
168 considered to be "truth". In particular, the database is known to exclude fast-moving or
169 rapidly intensifying cyclones due to its low temporal resolution and have issues
170 associated with inconsistent identification of weak cyclones or those near the borders of
171 the domain, while the low spatial resolution of synoptic charts means that small-scale
172 cyclones are likely to be missed (Pepler and Coutts-Smith, 2013).

173

174 **2.2. Cyclone impacts**

175

176 Satellite-based wind and rainfall observational datasets were used to evaluate ECLs and
177 their associated impacts.

178

179 The Cross-Calibrated Multi-Platform (CCMP) 10-m wind speed data (Atlas *et al.*, 2010)
180 are available 6-hourly in a 0.25° resolution grid mesh over nearly the whole globe
181 (between -78 and 78 deg). CCMP uses a variety of near-surface wind datasets from
182 different sensors and satellites, including SSM/I, TMI, AMSR-E, SeaWinds and
183 QuikSCAT, and they have been calibrated using more than 10 years of 10-m buoy

184 measurements. The CCMP first guess analysis is from ERA-40 between 1987 and 1998
185 and from the operational ECMWF analysis from 1999. Rainfall rates from the Tropical
186 Rainfall Measuring Mission (TRMM) multi-satellite precipitation analysis 3B42 V7
187 (Huffman *et al.*, 2007) are available every three hours, also on a 0.25° resolution grid.

188

189 For each cyclone, the corresponding 10m zonal (u) and meridional (v) winds and the
190 surface precipitation rate within 10° of the cyclone centre are extracted from both the
191 satellite data and the reanalysis fields. These are used to calculate the average wind
192 speed ($w=(u^2+v^2)^{0.5}$) within 5° of the cyclone centre, and the maximum wind speed
193 within 10° of the cyclone centre. The average wind speed is calculated for radii between
194 1° and 10° from the cyclone centre to identify the radius of maximum winds. We also
195 calculate the average rainfall rates within 2.5° and 5° of the cyclone centre and the
196 maximum rainfall rate within 10° of the cyclone centre. The mean sea level pressure
197 (MSLP) within 10° of the cyclone centre is also composited for each reanalysis.

198

199 Cyclonic circulation is indicated by the gradients of wind, such that $du/dx > 0$ and
200 $dv/dy < 0$. To assess this, for each cyclone and dataset we calculate the zonal difference
201 of the meridional wind (Vdiff) and the meridional difference of the zonal wind (Udiff)
202 at radii between 1° and 7° from the cyclone centre. For example, the meridional
203 difference of zonal wind at a 5° radius is calculated as the difference between the zonal
204 winds averaged over a region within 1° of longitude from the cyclone centre and
205 between 4.5° and 5.5° north of the cyclone centre, minus the zonal winds averaged over
206 a region within 1° of longitude from the cyclone centre between 4.5° and 5.5° south.
207 The maximum difference across the seven radii is used as an indicator of the cyclonicity

208 of the cyclone. Note that the difference in meridional wind has been calculated in the
209 inverse of the normal approach so that positive values indicate cyclonic circulation, i.e.
210 the meridional wind is southerly to the east of the cyclone centre and either northerly
211 winds or weaker southerlies are observed to the west.

212

213 To compare cyclones identified between different reanalyses, an individual low is
214 “matched” if a cyclone is present in the corresponding reanalysis within 5° and 6 hours
215 of the cyclone in the first reanalysis, while an ECL event is “matched” if this criteria is
216 true for any instance of the ECL. From this, we can calculate the hit rate (HR), false
217 alarm rate (FAR), and the Critical Success Index (CSI), a good index of how similar two
218 datasets are:

$$219 \quad CSI = \frac{Hits}{Hits + Misses + FalseAlarms}$$

220

221 **3. Satellite observations of subjectively-identified ECLs**

222

223 Prior to assessing the cyclones identified objectively from reanalyses, we use the Speer
224 *et al.* (2009) subjective database to identify the typical structure of cyclones in the
225 satellite datasets. During the years 1988-2008, the Speer *et al.* (2009) database has an
226 average of 23 ECLs per year. The average duration is slightly under two days, with 39
227 days per year having at least one cyclone identified at 0000UTC. Figure 2 shows the
228 average CCMP wind fields within 10° of the cyclone centre for these events, with
229 cyclonic rotation evident in the u and v fields. The average wind speed is strongest to
230 the south and east of the cyclone centre, with relatively weak winds recorded close to
231 the cyclone centre. Rainfall rates are also highest to the south of the cyclone centre, with

232 relatively low rainfall to the north of the cyclone.

233

234 The maximum U_{diff} and V_{diff} within 7° of the cyclone centre were calculated for each
235 cyclone as an indicator of the cyclonicity of the ECL. More than 97% of ECLs had the
236 maximum wind difference greater than or equal to 5 m s^{-1} , with 89% of cyclones
237 exceeding this threshold for both components of the 10 m wind. Those cyclones where
238 this threshold was not reached were manually examined using the corresponding
239 0000UTC synoptic chart from the NMOC. Several of these were identified as being
240 mis-keyed in the original database, with either the location or the date of the cyclone
241 incorrect, and these errors were subsequently fixed. The remainder of events with wind
242 differences below this threshold were very small cyclones without significant impacts,
243 so no significant events were excluded by this criterion. The average radius of
244 maximum winds across all cyclones in the Speer *et al.* (2009) database is 3.9° and only
245 10% of cyclones have a radius of maximum winds greater than 7° , typically very small
246 systems where this metric instead detects the stronger winds associated with a more
247 distance cyclone or front, justifying the use of this radius for calculating the cyclonicity
248 of an event.

249

250 **4. Evaluating reanalyses**

251 **4.1. Representation of daily mean winds**

252

253 The mean CCMP surface wind velocity during the period 1988-2009 is shown in Figure
254 1. Average wind velocities are small over the ECL region, with generally easterly
255 prevailing winds during the warm months and westerly winds during the cooler months

256 associated with the progression of the subtropical ridge (Pepler *et al.*, 2015a; Timbal
257 and Drosowsky, 2013). The ERAI reanalysis is able to broadly recreate this pattern,
258 with only small wind anomalies over the ECL region, although the strength of the
259 midlatitude westerlies is stronger than in the satellite data (Figure 3a). The other three
260 reanalyses have larger biases, with northerly wind anomalies over the ECL region in
261 MERRA (Figure 3b) and CFSR, and to the south of Australia in JRA55. It is important
262 to note here that the improved performance of ERAI could be due to its similarity to the
263 model used as a first guess field for CCMP, rather than to any improvements in its
264 representation of Australian region winds.

265

266 As well as assessing their representation of the mean wind fields, we also evaluated the
267 ability of the four reanalyses to represent daily wind patterns between 1990 and 2009
268 over the region 25-40°S, 152-160°E for all days between 1990 and 2009. This is the
269 maritime portion of the ECL domain shown in Figure 1, and is used to avoid biasing
270 results over land areas where wind speeds in CCMP are expected to be more strongly
271 influenced by the first-guess analyses.

272

273 All reanalyses were bilinearly regridded to the same resolution as CCMP over this
274 domain, and the spatial correlation and RMS error calculated for each day at 0000UTC
275 (Table 2). Both the zonal and the meridional components of wind have spatial
276 correlations greater than 0.9 with the CCMP winds in this region in all four reanalyses,
277 with highest correlations and lowest RMS error for the ERAI reanalysis. The JRA55
278 and MERRA reanalyses have similar skill to each other, while CFSR is the worst
279 performer on all metrics. ERAI also has the highest correlation and lowest RMSE when

280 calculated only over days when an ECL is identified in the Speer *et al.* (2009) database
281 or when an ECL is identified across all four reanalyses, with CFSR remaining the worst
282 reanalysis (not shown).

283

284 **4.2. Representation of winds around known cyclones**

285

286 We also calculate the average spatial correlation and RMS error for the wind fields
287 within 10° of cyclone centres in the Speer *et al.* (2009) database (Table 3). The RMS
288 error is higher on average during ECLs, which is unsurprising given that the average
289 wind speeds are also higher during ECLs. However, the rankings of reanalyses remain
290 consistent with the results for all days. Figure 4 shows the average bias in the mean
291 winds within 10° of the cyclone centre for all ECLs in Speer *et al.* (2009), with JRA55,
292 MERRA and CFSR tending to underestimate winds to the west of the cyclone centre
293 and overestimate winds around the centre and further east when compared to CCMP.

294

295 The MERRA reanalyses has slightly lower RMS error and higher spatial correlations
296 when we instead assess key features of the cyclone, such as the maximum Udiff or the
297 average wind speed within 5° of the cyclone centre, although there is little difference in
298 skill between MERRA and ERAI. However, the MERRA reanalysis tends to
299 underestimate both the average wind speed and the average differences of both zonal
300 and meridional wind.

301

302

303

304 4.3. Representation of ECLs – PG

305

306 Consistent with results shown in Di Luca *et al.* (2015), the total number of ECLs when
307 identified using the PG method varies substantially between the three reanalyses (Table
308 4). Results for CFSR are not shown due to its poor representation of observed winds,
309 but the frequency of ECLs is even lower for this reanalysis, with an average of 8.7
310 events per year and 15.7 ECL days. Using the intensity threshold chosen, the JRA55
311 reanalysis is most similar to Speer *et al.* (2009), with fewer ECLs in ERAI and
312 substantially more in MERRA.

313

314 It is important to note here that the choice of intensity threshold is intrinsically arbitrary,
315 and this threshold was chosen to allow the best possible comparison to the Speer *et al.*
316 (2009) database across a range of reanalyses. If we restrict analysis to only 0000UTC,
317 consistent with Speer *et al.* (2009) the total number of ECL days drops to 14.6 for ERAI
318 or 40.8 for MERRA. For this reason, previous papers have argued that a weaker
319 intensity threshold is needed to replicate ECLs in the subjective Speer *et al.* (2009)
320 database (Pepler and Coutts-Smith, 2013).

321

322 The largest source of difference between the reanalyses is the presence of cyclones
323 where the meridional difference of zonal wind is weak or negative. These form 43% of
324 cyclones in the MERRA reanalysis when Udiff is derived directly from the reanalysis,
325 or 45% of cyclones when Udiff is derived from CCMP. The correlation between the
326 Udiff calculated from CCMP and MERRA is 0.95, so the analysis will focus on results
327 using the difference in the reanalysis itself, as this will better enable future

328 improvements of the tracking scheme. These cyclones are most likely to occur during
329 the warm half of the year, with more than 95% of cyclones in ERAI and JRA55 that fail
330 this criterion, and 81% of MERRA cyclones, occurring between September and March.
331 In comparison, only 56% of cyclones in the Speer *et al.* (2009) database occur during
332 these months, and 61-64% of ECLs in the three reanalyses that satisfy the wind
333 difference criterion.

334

335 The average MSLP and wind patterns around these cyclones are shown in Figure 5.
336 These are predominantly very small low pressure fluctuations on a trough, northward of
337 a more significant low pressure system. Rather than the cyclonic wind patterns shown in
338 Figure 2, winds are strongest to the east of the cyclone in both MERRA and CCMP. Due
339 to the atypical structure, the average radius of maximum winds for such cyclones is
340 7.2° , double the radius of 3.7° for cyclones that satisfy the wind difference criterion,
341 while the average size of the cyclone when calculated from the radius of the last closed
342 isobar (Rudeva and Gulev, 2007) is 2.6° , compared to 3.7° for the cyclones that satisfy
343 the criterion. The average rain rate within 2.5° of the cyclone centre calculated from
344 TRMM is also significantly lower, at 0.2 mm h^{-1} compared to 0.7 mm h^{-1} for MERRA
345 cyclones that satisfy the criteria or cyclones identified from Speer *et al.* (2009). For
346 these reasons, we recommend that these cyclones be excluded from analyses, as they are
347 likely to represent small-scale fluctuations in the surface pressure fields rather than true
348 cyclones.

349

350 Following the exclusion of cyclones that do not satisfy the wind difference criterion
351 from all datasets the uncertainty in ECL frequency is decreased, with the number of

352 ECL days per year ranging from 23.6 in ERAI to 40.4 in MERRA. On average, the
353 remaining cyclones in MERRA are slightly weaker than the other reanalyses, with
354 weaker average wind speeds and a larger radius of maximum winds (Table 4). However,
355 these are closer to the averages obtained from the Speer *et al.* (2009) database.
356 Furthermore, owing to the greater number of cyclones, the MERRA reanalysis has a
357 higher hit rate when compared to the Speer *et al.* (2009) database, at 64% compared to
358 50% for ERAI and 54% for JRA55, although the higher total number of cyclones also
359 results in an increased rate of false alarms.

360

361 On average, 31% of ECLs in MERRA are not present in either JRA55 or ERAI. These
362 tend to be weaker cyclones: only 16% of cyclones with mean winds within 5° of the
363 cyclone centre greater than 30 km h^{-1} , or 13% of cyclones with mean rain rates within
364 2.5° of the cyclone centre greater than 1 mm h^{-1} are unmatched. But unlike the cyclones
365 that failed to satisfy the wind difference criterion in Figure 5, the composite MSLP
366 pattern shows a clear low pressure system (Figure 6a). Although ERAI does not have a
367 cyclone identified at these times, it is likely that low pressure systems are present where
368 the average pressure difference does not meet the intensity criterion, as the mean ERAI
369 MSLP pattern at these times also shows a clear low pressure system (Figure 6b). The
370 average pressure gradient across all ECLs is also slightly lower in ERAI than MERRA
371 or JRA55 (Table 4), which is also the case for matched cyclones.

372

373 Figure 7 shows the mean wind speeds for these unmatched cyclones in MERRA, with
374 the corresponding wind speeds from both CCMP and ERAI. Unlike Figure 5, these
375 systems show a clear cyclone centre with decreased wind speeds surrounded by an area

376 of stronger winds, particularly to the south and west of the cyclone, with wind speeds in
377 CCMP similar in magnitude to MERRA. These results suggest that the additional
378 cyclones identified by MERRA are indeed real systems. However, as objective ECL
379 identification relies on the choice of arbitrary thresholds, it may simply suggest that a
380 higher intensity threshold is needed for MERRA to produce similar systems to other
381 reanalyses, which is an approach frequently employed when comparing climate model
382 simulations (Pepler *et al.*, 2016).

383

384 **4.4. Representation of ECLs – LAP**

385

386 For comparison with results from the PG method, we also identified ECLs using the
387 University of Melbourne tracking scheme. This has been enhanced with a number of
388 developments including filtering to minimise the occurrence of erroneous cyclones in
389 areas of elevated topography or along trough lines. Consequently, this approach
390 removes much of the differences between the three reanalyses, with the total number of
391 ECLs identified varying by less than 25% (Table 5). Notably, more than 95% of ECLs
392 identified using this approach satisfy the criterion for the meridional difference of zonal
393 wind. The difference between the cyclones identified by different reanalyses using the
394 LAP method is also reduced, with CSI scores between the three reanalyses varying
395 between 0.66 and 0.68, compared to 0.42-0.51 for the PG method.

396

397 When comparing ECLs identified in the same reanalysis between the LAP and PG
398 methods, following the removal of all cyclones where U_{diff} is less than 5 m s^{-1} , CSI
399 scores range between 0.46 for MERRA and 0.56 for ERAI, with 30% of ECLs in PG-

400 MERRA not detected in LAP-MERRA. This is not simply a result of the different total
401 numbers of cyclones, as 23% of ECLs in PG-MERRA have no matching ECL in LAP-
402 MERRA even using a weaker intensity threshold that gives 53 ECL days per year.

403

404 As with cyclones unmatched by other reanalyses, these tend to be weak systems: only
405 5% of ECLs with mean winds within 5° of the cyclone centre greater than 30 km h^{-1} , or
406 12% of cyclones with mean rain rates within 2.5° of the cyclone centre greater than 1
407 mm h^{-1} are unmatched. They are also typically small systems, with an average radius of
408 2.8° compared to 4.1° for matched systems, while 30% of these cyclones have a radius
409 of maximum winds greater than 7° compared to just 4% of matched cyclones.

410

411 Figure 8 shows the average satellite wind speeds and rainfall, as well as MSLP from the
412 MERRA reanalysis, for those cyclones in PG-MERRA that do not have a corresponding
413 ECL using the Laplacian method. The CCMP winds show clear cyclonic wind
414 circulation, although this is weaker than for all cyclones, while rainfall is heaviest to the
415 south of the cyclone. These are consistent with the spatial patterns shown in Figure 2,
416 although the magnitude of the winds and rainfall is lower. The average mean sea level
417 pressure for these systems in MERRA also shows a clear but small cyclone centre, with
418 the combination of reanalysis and satellite data suggesting these are true, but weak,
419 systems that are removed by the Laplacian method.

420

421

422

423

424 **4.5. ECLs during 2007**

425

426 To further investigate the characteristics of cyclones identified by the different methods
427 and reanalyses, we performed a manual investigation of the NMOC synoptic charts for
428 all ECLs identified by at least one method in 2007, a year notable for several severe
429 ECLs. During this year there were 32 days with an ECL in the Speer *et al.* (2009)
430 database, equal to the long-term average, and 76 days had an ECL detected in at least
431 one of the three reanalyses and two ECL tracking methods.

432

433 To minimise issues associated with cyclones close to the boundaries of the domain, we
434 restricted analysis to the region between 27-38°S, 152-158°E. In this region there were
435 47 days where an ECL was identified by one or more datasets, ranging between 17 in
436 LAP-ERA-Interim and 35 in PG-MERRA. 22 days had an ECL present in Speer *et al.* (2009),
437 of which seven were identified by all methods. Three were identified by no automated
438 method, with only a weak minimum present on the synoptic chart. The remaining ECLs
439 were most likely to be successfully identified using the MERRA reanalysis, with overall
440 hit rates of 68% for LAP-MERRA and 64% for PG-MERRA.

441

442 Of cyclones identified by one or more reanalysis that were not present in the Speer *et al.*
443 (2009) database, nine were identified by the majority of reanalyses, typically events that
444 underwent rapid development so were not effectively detected using only 0000UTC
445 synoptic charts. The existence of these cyclones further demonstrates the advantage of
446 automated cyclone detection methods over the subjective ECL database. All of these
447 had cyclones present in both PG-MERRA and LAP-MERRA, but were not necessarily

448 present in both ERAI and JRA55.

449

450 This leaves 16 days where an ECL was present in only one or two datasets and not
451 present in Speer *et al.* (2009), of which 14 were only present using the PG method and 9
452 only present in PG-MERRA. Manual inspection of the synoptic charts at all of 0000,
453 0600, 1200 and 1800 UTC showed that these days had, at best, a very small low
454 indicated by an 'x' on the chart, with several presenting a surface trough with no low
455 pressure centre indicated on the charts at all. Two example charts are shown in Figure 9.

456

457 The sea level pressure fields for the three reanalyses corresponding to Figure 9b are
458 shown in Figure 10. While the broad patterns are consistent between the reanalyses,
459 both JRA55 and MERRA produce additional fine-scale detail within the surface trough,
460 with local minima near where the 'L' is marked in the official chart. The contour lines
461 are also less smooth in MERRA, particularly near the elevated topography of the east
462 coast. This small-scale variation makes it susceptible to the generation of erroneous
463 small-scale minima, with the cyclone centre identified by PG-MERRA shown in Figure
464 9b.

465

466 It is important to note here that the manual surface chart may be missing genuine
467 mesoscale lows. However, of these events, only one had large amounts of rain recorded
468 by the Bureau of Meteorology (<http://www.bom.gov.au/jsp/awap>, (Jones *et al.*, 2009)
469 along the Australian coast in the 24 hours to 9am AEDT (~2300UTC) the following day,
470 compared to 45% of ECLs in the Speer *et al.* (2009) database. This was on 31 October
471 2007, and the rain could equally be attributed to the associated surface trough and

472 onshore flow triggering thunderstorms and showers.

473

474 Of the 12 days that were in PG-MERRA but not PG-JRA or PG-ERA-
475 mean winds within 5° of the cyclone centre greater than 30 km h^{-1} in CCMP, compared
476 to 54% of all events. A larger proportion (25%) had mean rain rates in TRMM within
477 2.5° of the cyclone centre greater than 1 mm h^{-1} , equal to the proportion of all cyclones
478 in MERRA. This highlights the challenges associated with attributing rainfall to
479 cyclones, as much of the rain may be attributable to associated trough or front systems
480 rather than the cyclone itself (Pepler *et al.*, 2014; Utsumi *et al.*, 2017). The mean radius
481 of these cyclones is 1.9° , and none has a radius greater than 3.5° . However, these
482 cyclones continue to have clear cyclonic winds in the CCMP observations, with rainfall
483 concentrated to the south and east of the centre (Figure 11).

484

485 **5. Discussion and conclusions**

486

487 This paper follows (Di Luca *et al.*, 2015, 2016) to produce a comprehensive assessment
488 of the representation of ECLs and their associated wind fields across four reanalyses –
489 CFSR, ERAI, JRA55 and MERRA. These are evaluated in comparison to satellite
490 observations of wind fields (CCMP) and rainfall (TRMM), as well as a subjective
491 database of ECLs produced by Speer *et al.* (2009).

492

493 Sea level pressure in the MERRA reanalysis has a large amount of small-scale
494 variability. Consequently, the number of cyclones identified from this reanalysis using a
495 simple tracking scheme based on local minima of MSLP is substantially higher than in a

496 smoother reanalysis such as ERAI. A large number of these systems are very small lows
497 on surface trough without associated cyclonic winds, so can be comfortably excluded
498 from analyses during post-processing of tracks. However, many other ECLs present in
499 only the MERRA reanalysis have clear cyclonic characteristics in satellite wind and rain
500 products. The MERRA reanalysis produces lower central pressures and higher mean
501 pressure differences for a given cyclone, further contributing to the differences between
502 this reanalysis and ERAI.

503

504 The University of Melbourne tracking scheme incorporates improvements that reduce
505 the frequency of erroneous cyclones generated along trough lines or topography. These
506 improvements are sufficient to remove much of the differences between reanalyses and
507 the small or non-cyclonic systems identified by simpler methods. The cyclones in PG-
508 MERRA that are missing when identified using the LAP method tend to be small-scale
509 systems, and are rarely associated with significant impacts, but have clear cyclonic
510 circulation in the satellite wind fields and rainfall patterns typical of ECLs.
511 Consequently, the LAP method may also be removing true small-scale systems, which
512 is important to understand for studies that focus on such events.

513

514 The results from this paper suggest that the question of reanalysis choice may depend
515 on the cyclone tracking method used:

- 516 • For a given set of ECLs, such as the Speer *et al.* (2009) database, ERAI
517 consistently produces the most similar wind fields to the satellite data, followed
518 closely by MERRA. Winds in CFSR consistently show the weakest relationship
519 with the satellite data in southeast Australia across a range of metrics.

- 520 • When using a simple tracking scheme, ERAI produces the most realistic
521 cyclones, and is less susceptible to erroneous small or non-cyclonic systems in
522 trough lines or near the east coast. As ERAI also has the best representation of
523 the CCMP wind fields, this is the generally recommended reanalysis.
- 524 • MERRA consistently produces more cyclones than other reanalyses, and is
525 better able to represent small-scale or complex cyclones when compared to other
526 reanalyses. However, while many of these additional systems are real ECLs, it
527 also produces a large number of spurious lows. When using this method,
528 substantial post-processing is required to exclude these. The more complex
529 University of Melbourne cyclone tracking scheme is less susceptible to these
530 pressure anomalies, at the expense of also excluding some genuine cyclones.
- 531 • No single reanalysis is a “true” representation of the climate. Where possible,
532 requiring a cyclone to be identified by two different reanalyses, such as ERAI
533 and MERRA, increases the likelihood of it being a true event. However, all
534 reanalyses offer significant advantages over subjective databases, particularly in
535 their ability to detect small, short-lived and rapidly developing events.

536

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538

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542

543

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671

672

673 **Tables**

674 Table 1. Model type, data assimilation scheme, and spatial and temporal resolutions for
 675 the four reanalyses used in this paper

Reanalysis	$\Delta x \times \Delta y$ (°)	Δt (h)	Atmospheric model	Ocean model	Assimilation	Reference
ERA-Interim	0.72×0.72	6	Spectral (T255)	N/A	4Dvar	(Dee <i>et al.</i> , 2011)
JRA55	0.56×0.56	6	Spectral (T319)	N/A	4Dvar	(Kobayashi <i>et al.</i> , 2015)
MERRA	0.67×0.5	1	Finite volume ($0.67^\circ \times 0.5^\circ$)	N/A	3Dvar	(Rienecker <i>et al.</i> , 2011)
CFSR	0.5×0.5	1	Spectral (T382)	Finite difference $0.5 \times 0.5^\circ$	3Dvar	(Saha <i>et al.</i> , 2010)

676

677

678 Table 2. Correlation and RMS error of the u and v components of wind between the
679 CCMP satellite wind data and four reanalysis products over the maritime portion of the
680 ECL domain for all days between 1988-2008.

	ERA-Interim	JRA55	MERRA	CFSR
Spatial corr (u)	0.96	0.94	0.94	0.92
RMSE (u)	1.19	1.49	1.49	1.72
Spatial corr (v)	0.97	0.95	0.95	0.93
RMSE (v)	1.28	1.56	1.57	1.49

681

682

683 Table 4. ECL statistics as identified from different reanalyses using the PG cyclone
684 identification method, in comparison to the Speer et al. (2009) database. Wind
685 information is obtained from CCMP for the Speer et al. (2009) and directly from the
686 reanalysis in other cases, but results are similar if CCMP is used in all cases.

Reanalysis	Speer	ERA-Interim	JRA55	MERRA
ECLs p.a.	20.9	14.8	22	45.6
ECL days p.a.	34.8	24.9	34.7	65.6
% cyclones with maximum $U_{diff} < 5 \text{ m s}^{-1}$	8.3	7.2	15.7	42.8
% cyclones maximum $V_{diff} < 5 \text{ m s}^{-1}$	4.0	0.1	0.8	9.5
Cyclone days if $U_{diff} < 5$ are removed	32.0	23.6	29.6	40.4
Average maximum U_{diff} (m/s)	15.8	19.2	18.0	15.9
Mean pressure gradient within 200km of cyclone centre (hPa/100km)		1.49	1.59	1.58
Mean radius of maximum winds ($^{\circ}$)	3.9	2.9	2.8	3.7
Mean wind within 5° of centre (m/s)	8.8	10.0	9.8	8.6

687

688

689

690

691 Table 3. Correlation and RMS error of winds around ECLs between the CCMP satellite
 692 wind data and four reanalysis products, 1988-2008. Bold text indicates the reanalysis
 693 with highest skill for each metric.

	ERA-Interim	JRA55	MERRA	CFRSR
Spatial corr of u within 10° of cyclone centres	0.96	0.94	0.95	0.93
RMSE of u within 10° of cyclone centres	1.5	1.97	1.77	2.22
RMSE of maximum Udiff	2.79	3.17	2.89	3.73
Bias of maximum Udiff	+0.10	+0.58	-0.48	+1.57
RMSE of the average wind speed within 5° of cyclone centres	1.13	1.28	1.05	1.30
Bias of the average wind speed within 5° of cyclone centres	-0.11	+0.04	-0.31	+0.50

694

695

696 Table 5. ECL statistics as identified from different reanalyses using the LAP cyclone
 697 identification method

Reanalysis	Speer	ERA-Interim	JRA55	MERRA
ECLs p.a.	20.9	21.2	22.2	25.9
ECL days p.a.	34.8	31.2	31.8	37.1
% cyclones $U_{diff} < 5$	8.3	1.2	3.5	1.3
% cyclones $V_{diff} < 5$	4.0	0.2	1.3	0.4
Cyclone days if $grad < 5$ are removed	32.0	30.7	30.3	36.5
Average maximum difference of zonal wind (m/s)	15.8	19.7	19.2	19.0
Mean radius of maximum winds	3.9	2.8	2.9	3.0
Mean wind within 5° of centre (m/s)	8.8	10.3	10.5	9.9

698

699

700

701 **Figure captions**

702

703 Figure 1. Annual mean 10m wind velocity around Australia in the CCMP satellite
704 dataset. The blue dashed line indicates the ECL identification region.

705

706 Figure 2. Composite a) zonal and b) meridional components of wind and c) mean wind
707 speed from CCMP within 10° of all ECLs in the Speer et al. (2009) database, 1988-
708 2008. d) Mean TRMM rain rates within 10° of all ECLs in the Speer et al. (2009)
709 database, 1998-2008. Cyclones are oriented by cardinal points.

710

711 Figure 3. Annual mean 10m wind velocity anomalies around Australia in a) ERAI and
712 b) MERRA, in comparison to the CCMP satellite dataset. The blue dashed line indicates
713 the ECL identification region.

714

715 Figure 4. Average difference between the mean reanalysis winds within 10° of all ECLs
716 in the Speer et al. (2009) database for four reanalyses, bilinearly regridded to the same
717 resolution as CCMP, and the corresponding winds in CCMP.

718

719 Figure 5. Average a) MSLP and b) wind speed for cyclones identified in the MERRA
720 reanalysis where the maximum meridional difference of zonal wind is less than 5 m s^{-1} .
721 c) Corresponding mean wind speed in CCMP.

722

723 Figure 6. Average mean sea level pressure in MERRA (left) and ERAI (right) within 10°
724 of the cyclone centre for all ECLs in MERRA where U_{diff} exceeds 5 m s^{-1} where there

725 is no corresponding cyclone in ERAI within 500 km and 6 hours.

726

727 Figure 7. Mean wind speeds in a) MERRA, b) ERAI and c) CCMP within 10° of the
728 cyclone centre for all ECLs in MERRA where the meridional difference of zonal wind
729 exceeds 5 m s^{-1} but there is no corresponding cyclone in ERAI within 500 km and 6
730 hours.

731

732 Figure 8. Composite a) zonal and b) meridional components of wind from CCMP within
733 10° for all ECLs in the MERRA reanalysis that are identified by the PG method with the
734 meridional difference in zonal wind greater than 5 m s^{-1} but have no corresponding
735 cyclone using the LAP method with a weak intensity threshold. c) Mean MSLP from
736 MERRA reanalysis. d) Mean TRMM rain rates.

737

738 Figure 9. Bureau of Meteorology synoptic charts for two instances where an ECL is
739 only identified by PG-MERRRA: a) 0000UTC 29 January 2007, b) 0000 UTC 27
740 September 2007

741

742 Figure 10. Mean sea level pressure fields at 0000 UTC on 27 September 2007 from a)
743 ERAI, b) JRA55 and c) MERRA. Crosses indicate the location of ECLs identified using
744 the PG or LAP methods for a given reanalysis.

745

746 Figure 11. Composite a) zonal and b) meridional components of wind from CCMP
747 within 10° for all ECLs in the MERRA reanalysis during 2007 that are identified by the
748 PG method with the meridional difference in zonal wind greater than 5 m s^{-1} but have

749 no corresponding cyclone in any other dataset. c) Mean MSLP from MERRA

750 reanalysis. d) Mean TRMM rain rates.

751

752